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No. 39] NEW DELHI, SATURDAY, SEPTEMBER 26—OCTOBER 2, 2015 (ASVINA 4, 1937)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग IV

[PART IV]

[गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं]

[Advertisements and Notices issued by Private Individuals and Private Bodies]

NOTICE

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BY ORDER
Controller of Publication

CHANGE OF NAME

I, hitherto known as PALLAVI SURI Wife of AMIT GUPTA residing at UP-4, Pitam Pura, Delhi-110034, have changed my name and shall hereafter be known as RADHIKA GUPTA.

It is certified that I have complied with other legal requirements in this connection.

PALLAVI SURI
[Signature (in existing old name)]

I, hitherto known as Aayush Mittal S/o Sh. Naresh Mittal R/o H. No. 2, Sharda Niketan, Saraswati Vihar, Pitampura,

Delhi-110034, have changed my name and shall hereafter be known as Ayush Mittal.

It is certified that I have complied with other legal requirements in this connection.

Aayush Mittal
[Signature (in existing old name)]

I, Anil Kumar CP son of E Kunhi Kannan, employed as JCO in the Indian Army, residing at Qtr No-102/8, VRC, Shankar Vihar, Delhi Cantt-10 and Permanent Address at Village & Post Office-Makreri, Tehsil- Kannur, District-Kannur, Kerala-670622, have changed the name of my minor daughter Adithya Anil aged 10 Years and she shall hereafter be known as Anwita Anil.

It is certified that I have complied with other legal requirements in this connection.

Anil Kumar CP
[Signature of Guardian]

I, hitherto known as Sweta Satadal Biswal, S/o Sh. Banshidhar Biswal, Residing at U-69/7, Room No.-6, DLF Phase-3,

Gurgaon, Haryana-122002 have changed my name and shall hereafter be known as Shwet Satadala Biswal.

It is certified that I have complied with other legal requirements in this connection.

Sweta Satadal Biswal
[Signature (in existing old name)]

I, hitherto known as Vipul Kumar son of Mrs. Nisha residing at 2179, Jawahar Colony, Air Force Road, NIT Faridabad, have changed my name and shall hereafter be known as VIPUL ARORA.

It is certified that I have complied with other legal requirements in this connection.

VIPUL KUMAR
[Signature (in existing old name)]

I, hitherto known as Gagan Kumar son of Sh. Rajesh Kumar Verma, residing at IV/12, Delhi Transco Colony, New Kilocari, New Delhi-110014, have changed my name and shall hereafter be known as Arjun Verma.

It is certified that I have complied with other legal requirements in this connection.

Gagan Kumar
[Signature (in existing old name)]

I, hitherto known as MAMTA KUMARI D/O RAM NIWAS, residing at 38, New four Storey, Vishal Enclave, New Delhi 110027, have changed my name and shall hereafter be known as MAMTTA KUMARI.

It is certified that I have complied with other legal requirements in this connection.

MAMTA KUMARI
[Signature (in existing old name)]

I, Yogendra Kumar son of Shri Jai Prakash, Employed as Specialist (Anaesthesia) the Delhi Govt. (Health & Family Welfare Department), residing at J-102, Phase-1, Ashok Vihar, Delhi-110052, have changed the name of my minor daughter Saumya Salonia aged 14 years 5 months and she shall hereafter be known as Saumya Kumar.

It is certified that I have complied with other legal requirements in this connection.

Yogendra Kumar
[Signature of Guardian]

I, Nitin Gupta son of Subhash Chandra Gupta, employed as consultant in the Flowmech Pretek Pvt Ltd, residing at Flat No-12099, Tower-6, GH-7, Crossings Republic, Ghaziabad, have changed the name of my minor daughter Bhavi Gupta

aged 9 years and she shall hereafter be known as Aanushree Gupta.

It is certified that I have complied with the all legal requirements in this connection.

Nitin Gupta
[Signature of Guardian]

I, DEEPAK KUMAR AGGARWAL S/o VISHESHAR DAYAL residing at 2466, Chhipiwada Kalan, Dharampura, Chandni Chowk Delhi-110006 have changed the name of my minor son AKSHAT AGGARWAL aged 4 years and he shall hereafter be known as AKSHAT MITTAL.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK KUMAR AGGARWAL
[Signature of Guardian]

I, DEEPAK KUMAR AGGARWAL S/o VISHESHAR DAYAL, residing at 2466, Chhipiwada Kalan, Dharampura, Chandni Chowk Delhi-110006 have changed the name of my minor daughter AKSHITA AGGARWAL aged 4 years and she shall hereafter be known as AKSHITA MITTAL.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK KUMAR AGGARWAL
[Signature of Guardian]

I, hitherto known as Devi Prasad S/o Bhagwan Charan, employed as Warrant Officer in the Indian Air Force, residing at Air Force Station Sirsa have changed my name and shall hereafter be known as Devi Prasad Awasthi.

It is certified that I have complied with other legal requirements in this connection

Devi Prasad
[Signature (in existing old name)]

I, hitherto known as KARAMJEET Wife of SH. BAKHSHISH SINGH, residing at 102, Pocket-H-18, Sector-7, Rohini, Delhi-110085, have changed my name and shall hereafter be known as KARAMJEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

KARAMJEET
[Signature (in existing old name)]

I, hitherto known as MEENA Daughter of MOHAN RANA, residing at N68/521, Aruna Nagar, Majnu Ka Tila, Delhi-110054, have changed my name and shall hereafter be known as MEENU RANA.

It is certified that I have complied with other legal requirements in this connection.

MEENA
[Signature (in existing old name)]

I, SEHBA MEENAI daughter of Mr. MOHAMMAD NAZIRUDDIN MENAI, employed as Director in the Socio Legal Information Centre, residing at 179/8 Main Road, B-3, IInd Floor, A.B.Complex, Zakir Nagar, New Delhi-110025 have changed my minor son name AMMAAR MOHAMMAD ALI, aged 16 years and he shall hereafter be known as AMMAR MOHAMMAD ALI.

It is certified that I have complied with other legal requirements in this connection.

SEHBA MEENAI
[Signature of Guardian]

I, hitherto known as Ramavtar Singh Gothwal @ R. A. Singh Gothwal @ Ram Avtar Singh S/O Shri Mool Chand employed as Dy. Manager in the Centre for Railway Information Systems (CRIS), Northern Region, IRCA Building, Chelmsford Road, New Delhi-110055, residing at 647/1, Gali No. 10, Ashok Vihar, Phase-1, Gurgaon-122001 (Haryana) have changed my name and shall hereafter be known as Avtar Singh.

It is certified that I have complied with other legal requirements in this connection.

Ramavtar Singh Gothwal @ R. A. Singh Gothwal @
Ram Avtar Singh
[Signature (in existing old name)]

I, Neelesh Kumar Sah son of Shri Veda Nand Sah employed as Accountant General in the office of the Accountant General (A&E)-I Uttar Pradesh, Allahabad residing at Qr. No.3 Type VI, AG Officers' Colony, 15, Dr. Lohia Marg, Civil Lines Allahabad have changed the name of my minor son Nikhil aged 9 years and he shall be known as Nikhil Sah.

It is certified that I have complied with other legal requirements in this connection.

Neelesh Kumar Sah
[Signature of Guardian]

I, hitherto known as Mandeep Singh Son of Shingara Singh residing at VPO Chaukiman, Patti Dhaliwal, Kular Road Colony, Jagraon, Ludhiana Punjab have changed my name and shall hereafter be known as Mandeep Singh Kaidey.

It is certified that I have complied with other legal requirements in this connection.

MANDEEP SINGH
[Signature (in existing old name)]

I, hitherto known as Fandi Ram S/o Shri Jagisha Ram, employed as Upper Division Clerk in the 90 RCC (GREF), C/o 99 APO, residing at 90 RCC (GREF), C/o 99 APO have changed my name and shall hereafter by known as Ajay Kaushal.

It is certified that I have complied with other legal requirements in this connection.

FANDI RAM
[Signature (in existing old name)]

I, hitherto known as Gurdeep Singh Son of Shingara Singh, residing at VPO Chaukiman, Patti Dhaliwal, Kular Road Colony, Jagraon, Ludhiana Punjab, have changed my name and shall hereafter be known as Gurdeep Singh Kaidey.

It is certified that I have complied with other legal requirements in this connection.

GURDEEP SINGH
[Signature (in existing old name)]

I, hitherto known as ABHISHEK KISHORE son of Sri KISHORE KUMAR AGRAWAL permanent resident 25, Kasturba Path North Sri Krishna Puri, Boring Road, Patna-800013, employed as Wing Commander in the Indian Air Force and presently residing at OMQ No. 151/4, Air Force Station Nal, Bikaner - 334001, have changed my name and shall hereafter be known as ABHISHEK KISHORE MITTAL.

It is certified that I have complied all other legal requirement in this connection.

ABHISHEK KISHORE
[Signature (in existing old name)]

I, hitherto known as Akshay Kumar Son of Mr. Ranbir Singh, residing at T-21 Old Nangal Delhi Cantt-110010 have changed my name and shall hereafter be known as Akshay Singh.

It is certified that I have complied with other legal requirements in this connection.

AKSHAY KUMAR
[Signature (in existing old name)]

I, MONIYA GARG wife of MUKUL GARG, residing at B-45 South Extension 1, New Delhi - 110049 have changed the name of my minor son RUDRAKSH GARG aged 7 years and he shall hereafter be known as SEHHEJ GARG.

It is certified that I have complied with other legal requirements in this connection.

MONIYA GARG
[Signature of Guardian]

I, Anuradha Gupta wife of Sanjay Gupta employed as housewife, residing at House No. 806, Sector-6, Bahadurgarh, Distt. Jhajjar, Haryana have changed the name of my minor son Rohin aged 14 years 7 months and he shall hereafter be known as Prateek Gupta.

It is certified that I have complied with other legal requirements in this connection.

Anuradha Gupta
[Signature of Guardian]

I, hitherto known as Vishan Lal Chawla S/o Sh. Girdhari Lal, R/o H. No. 11/4, Railway Colony, Sarojini Nagar, Chanakya Puri, New Delhi-110021, have changed my name and shall hereafter be known as Vishan Lal.

It is certified that I have complied with other legal requirements in this connection.

Vishan Lal Chawla
[Signature (in existing old name)]

I, hitherto known as Pratima Gupta D/o Shri Prem Chand Gupta W/o Shri Pawan Kumar Agarwal, R/o 19-A, Vasant Enclave, New Delhi-110057, have changed my name and shall hereafter be known as Pratima Agarwal.

It is certified that I have complied with other legal requirements in this connection.

Pratima Gupta
[Signature (in existing old name)]

I, hitherto known as SAVITA GUPTA Wife of NIKHIL JINDAL, employed as CTO, Bank of India, Ambala, residing at House No. 981, Sector-7, Urban Estate, Ambala City, have changed my name and shall hereafter be known as SAVITA JINDAL.

It is certified that I have complied with other legal requirements in this connection.

SAVITA GUPTA
[Signature (in existing old name)]

I, hitherto known as (Old Name) Joseph Movin Son of Late Sunny D'Souza, employed as Supervisor in the E.C.E. Section, Ordnance Factory Khamaria Jabalpur M.P., residing at House No. 17, Annu Shree Vihar, near St. Gabriel School Ranjhi Jabalpur M.P., have changed my name and shall hereafter be known as (New Name) Movin Joseph D'Souza.

It is certified that I have complied with other legal requirements in the connection.

Joseph Movin
[Signature (in existing old name)]

I, hitherto known as UBED ALAM son of SUHAIL AHMED, employed as Self Employed, residing at N.U. 35 B Pitampura, Delhi-110088, have changed my name and shall hereafter be known as UBED ALAM RAJPUT.

It is certified that I have complied with other legal requirements in this connection.

UBED ALAM
[Signature (in existing old name)]

I, hitherto known as KULDEEP SINGH SHIVRAIN son of SATYAVIR SINGH, employed as CAPTAIN in 75 Armoured Regiment, residing at 75 Armoured REGIMENT, PIN-912675, C/O 56 APO have changed my name and shall hereafter be known as KULDEEP SINGH SHEORAN.

It is certified that I have complied with other legal requirements in this connection.

KULDEEP SINGH SHIVRAIN
[Signature (in existing old name)]

I, hitherto known as Nishu Bala wife of Harshit Aggarwal residing at 284, Friends Colony, Jalandhar have changed my name and shall hereafter be known as Vani Aggarwal.

It is certified that I have complied with other legal requirements in the connection.

Nishu Bala
[Signature (in existing old name)]

I, hereto known as SUNIL SHARMA S/O SH. ASHARAM SHARMA, Self employee in the residing at D-84A, Shyam Vihar Ph-I, Najafgarh, Dindar Pur, Delhi-110043, have changed my name and shall hereafter be known as SUNIL NAUGAIN.

It is certified that have complied with other legal requirement in this connection.

SUNIL SHARMA
[Signature (in existing old name)]

I, hitherto known as AMMATI VENKATASUBBAIAH S/o A. SUBBAIAH, working as Scientific Officer 'C' in Kaiga Generating Station, 3&4, in NPCIL Kaiga and residing at D-160 Kaiga Township, Mallapur, Karwar, Uttar Kannada Disstrict, (581400), Karnataka State, have changed my name and shall be hereafter be known as AMBATI VENKATASUBBAIAH S/o A. SUBBAIAH.

It is certified that I have complied with other legal requirements in this connection.

AMMATI VENKATASUBBAIAH
[Signature (in existing old name)]

I, hitherto known as VINAY FRANCIS D'SOUZA S/o FRANCIS, working as Technician 'F' MMU (O&M) Kaiga Generating Station, 1&2, Kaiga and residing at C-3, 9/2, Kaiga Township, Post Mallapur, Karwar, Uttar Kannada District-581400, Karnataka State, have changed my name and shall hereafter be known as : VINAY FRANCIS KERWADKAR.

It is certified that I have complied with other legal requirements in this connection.

VINAY FRANCIS D'SOUZA
[Signature (in existing old name)]

I, hitherto known as Old Name Prannoy Chaki S/o Sh. Pranab Kumar Chaki, Residing at H.No. 1176A, Sector-29, Faridabad - 121008 (Haryana), have changed my name and shall hereafter be known as New Name Rahul Chaki.

It is certified that I have complied with other legal requirements in the connection.

Prannoy Chaki
[Signature (in existing old name)]

I, hitherto known as Bijendra Kumar Son of Shri Badri Prasad Gupta, employed in State Bank of Bikaner & Jaipur, Residing at 11, Neeraj Nagar, Near Dr. Ashopa Hospital Gailana, Agra, have changed my name and shall hereafter be known as Bijendra Kumar Gupta.

It is certified that I have complied with other legal requirements in this connection.

Bijendra Kumar
[Signature (in existing old name)]

I, Hitherto Known as Mohammed Yunus Saroya S/o Gurnam Singh Working as agriculturist residing at House No.1525, Gali No.5, Tapa, Tehsil TAPA, Distt. Barnala, Punjab have changed my name and shall hereafter be known as Avtar Singh Kachhiara.

It is certified that I have complied with other legal requirements in this connection.

Mohammed Yunus Saroya
[Signature (in existing old name)]

I, hitherto known as Jasmeet Kaur daughter of Buta Singh, a student, residing at ward no. 1 Kothe Amarapura, Bathinda, Punjab, have changed my name and shall hereafter be known as Jasmeet Dhillon.

It is certified that I have complied with the other legal requirements in this connection.

Jasmeet kaur
[Signature (in existing old name)]

I, hitherto known as Rinku Lalwani wife of Chandrajit Poddar, a housewife, residing at A-8/14, Sector 17, Rohini, New Delhi-110085, have changed my name and shall hereafter be known as RIEYA PODDAR.

It is certified that I have complied with the other legal requirements in this connection.

Rinku Lalwani
[Signature (in existing old name)]

I, hitherto known as Gaurav, son of Dr. Ratneshwar Prasad Singh, a student, resident of village+PO: Tankupa, PS- Konch, district- Gaya, Bihar- 824207, have changed my name and shall hereafter be known as Gaurav Singh.

It is certified that I have complied with other legal requirements in this connection.

Gaurav
[Signature (in existing old name)]

I, hitherto known as Anita Wife of Romesh Kumar Salhan, employed as Medical Officer Punjab Mandi Board Mohali, residing at House no 3391 Sector 71, Mohali 160071, have changed my name and shall hereafter be known as Anita Salhan.

It is certified that I have complied with other legal requirements in this connection.

Anita
[Signature (in existing old name)]

I, hitherto known as M. SUNITHA W/O MANE YADAGIRI O/O Perfect Knitters Ltd., Hno-6-56/6/1, Industrial Estate, Opp: IDPL Main gate, Balangar, Kukatpally Road, Hyderabad-37, T.S. have changed my name and shall hereafter be known as MANE ANITHA.

It is certified that I have complied with other legal requirements in this connection .

M. SUNITHA
[Signature (in existing old name)]

I, hitherto known as D.SUJANA W/O KANREDDY MADHAVA REDDY O/O Perfect Knitters Ltd, Hno-6-56/6/1, Industrial Estate, Opp: IDPL Main gate, Balangar, Kukatpally Road, Hyderabad-37, T.S have changed my name and shall hereafter be known as KANREDDY DURGA.

It is certified that I have complied with other legal requirements in this connection

D.SUJANA
[Signature (in existing old name)]

I, hitherto known as GORLA LAVANYA W/O GORLA ANAND YADAV, R/o Hno-1-1-380/12/2, Gandhi Nagar, Opp:Jagadamba Hospital, Hyderabad-20, T.S have changed my name and shall hereafter be known as GORLA RAKSHITA.

It is certified that I have complied with other legal requirements in this connection.

GORLA LAVANYA
[Signature (in existing old name)]

I, hitherto known as Mane Ramesh Maruti Son of Shri.Maruti Dikappa Kurane, R/o-Siddhewadi, Tal-Miraj, Dist-Sangli, have changed my name and shall hereafter known as Kurane Ramesh Maruti.

It is certified that I have complied with other legal requirements in this connection.

Mane Ramesh Maruti
[Signature (in existing old name)]

I, hitherto known as VISHNU LAL DARJEE Son of Shri BHANWAR LAL DARJEE, employed as Sr.TOA (P) at General Manager Telecom District, BHARAT SANCHAR NIGAM LIMITED, Hiran Magri Sector 4 Udaipur (Rajasthan) 313002, residing at H.No.129, B-Block, Sajjan Nagar, Udaipur (Rajasthan) 313001 have changed my name and shall hereafter be known as VISHNU LAL TAILOR.

It is certified that I have complied with other legal requirements in this connection.

VISHNU LAL DARJEE
[Signature (in existing old name)]

I, hitherto known as RAVIRAJA, aged 25 years, Son of Guruaja Achar M, Indian Hindu, residing #1-47/142, 1st Main, Landlinks Township, Derebail, Konchadi, Mangaluru-575008, D.K, Karnataka State, have changed my name and shall hereafter be known as RAVIRAJ ACHAR.

It is certified that I have complied with other legal requirements in this connection.

RAVIRAJA
[Signature (in existing old name)]

I, hitherto known as Anand Kumar Son of Late Shri Ramgopal Sirsa, residing at H.No.12, Chandrika Heritaze Behind Radio Station ITI Lamti Jabalpur (M.P.), have changed my name and shall hereafter be known as Anand Kumar Dubey.

It is certified that I have complied with other legal requirements in this connection.

Anand Kumar
[Signature (in existing old name)]

I, Meenakashi Rani wife of Balbir Singh, residing at House no-14196, ward no-10, Punjabi Baag Tibba Road, Ludhiana, Punjab-141007, have Changed my name and shall hereafter be known as Taranpreet Kaur.

It is certified that I have complied with other legal requirements in this connection.

Meenakashi Rani
[Signature (in existing old name)]

I, hither to known as ABDUL MAJEED son of LATE MOHAMMED SHARIF, serving in the Indian Air force, residing at the SMQ: 603/1, 7th Camp Jalahalli East ,Air Force Station, Jalahalli East - 560013, Karnataka, have changed my name and shall hereafter be known as ABDUL MAJEED ANSARI.

It is certified that I have complied with other legal requirements in this connection.

ABDUL MAJEED
[Signature (in existing old name)]

I, hitherto known as "Sheela Arya" Daughter of SHRI Madan Lal, resident of 7/9, Kishangarh, Vasant Kunj, New Delhi-110070, have changed my name and shall hereafter be known as "Shyna Arya".

It is certified that I have complied with other legal requirements in this connection.

Sheela Arya
[Signature (in existing old name)]

I, hitherto known as (RAMA DEVI) Wife of (SH. JAGIR SINGH), residing at (Village Bamnoli, P.O. Dhool Siras, Dwarka, Sector-28, Phase-II, New Delhi-110075), have changed my name and shall hereafter be known as (PUSHPA).

It is certified that I have complied with other legal requirements in this connection.

RAMA DEVI
[Signature (in existing old name)]

I, hitherto known as M.D. JOSEPH PAUL s/o M.S. DAVID PAUL, studying engineering (B. Tech. Mechanical) at KARUNYA UNIVERSITY, Coimbatore, residing at 70-B, DDA SFS Flats, Sector -6, Pocket-2, Behind Sector -6 Market, Dwarka, New Delhi-110075 have changed my name and shall hereafter be known as JOSEPH MARCUS PAUL.

It is certified that I have complied with other legal requirements in this connection.

M.D. JOSEPH PAUL
[Signature (in existing old name)]

I, Jiya Pankaj Diwan wife of Shri Pankaj Diwan, Employed as Business Residing at D-112, Anand Vihar, Delhi-110092, have changed the name of minor son Ronak Rishi Jaisingh aged 16 years and he shall hereafter be known as Ronak Pankaj Diwan.

It is certified that I have complied with other legal requirements in this connection.

Jiya Pankaj Diwan
[Signature of Guardian]

I, hitherto known as PRASHANT KHEMANATH KAMBLE Son of KHEMANATH BALAWANT KAMBLE, Occupation : Advocate, Resident of: At/Post: KARADAGA, Taluka : Chikodi, District : Belgaum, State : Karnataka, PIN- 591263, have changed my name and shall hereafter be Known as PRASHANT KHEMANATH KARNIK.

It is certified that I have complied with other legal requirements in this connection.

PRASHANT KHEMANATH KAMBLE
[Signature (in existing old name)]

I, hitherto known as POONAM RAO D/O LATE BHAGAT RAM, a Housewife, Residing at QTR NO.19, CIVIL LINES POLICE STATION DELHI-110054, have changed my name and shall hereafter be known as PARMINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

POONAM RAO
[Signature (in existing old name)]

I, hitherto known as DASHARATH MEHER Son of DHARMU MEHER, Employed as TECHNOLOGY CONSULTANT in HEWLETT PACKARD, Residing at Flat #202, VMAKS CHALET, Ananthanagar Ph-2, ECity Ph-2, Bangalore-560100, have changed my name and shall be known as ABHIK MEHER.

It is certified that I have complied with other legal requirements in this connection.

DASHARATH MEHER
[Signature (in existing old name)]

I, hitherto known as Ajit Kumar S/o Sh. Tripti Narayan Mishra, R/o A/212, Dream Residency, Behind Radha Krishna Park, Maneja, Vadodara, Gujarat-390013, have changed my name and shall hereafter be known as Ajit Kumar Mishra.

It is certified that I have complied with other legal requirements in this connection.

Ajit Kumar
[Signature (in existing old name)]

I, hitherto Known as Shammy S/o Shri Balbeer Singh, working as Team Leader in Hotel Westin at Iffco Chowk, Gurgaon (Haryana), residing at 254-B, Block-C, Meyfield Garden, Sec.-50, Gurgaon-122008, Haryana, have changed my name and shall hereafter be known as Shammy Choudhary.

It is certified that I have complied with other legal requirements in this connection.

Shammy
[Signature (in existing old name)]

I, hitherto known as Kulwant Singh Son of Sh. Joginder Singh employed as Sr. Technician/Carpainter/Jagadhri Work Shop District Yamuna Nagar Haryana, Pin code-135002 in the C.W.M. Jagadhri Work Shop, residing at H. No. 111-A Nanak nagar Farakpur Jagadhri Work Shop, have changed my name and shall hereafter be known as Kulwant Singh Rishiraj.

It is certified that I have complied with other legal requirements in this connection.

Kulwant Singh
[Signature (in existing old name)]

I, hitherto known as VENKITESWARAN SRENIVAS son of Sh. T. N. Venkiteswaran, residing at 457, Block EA, Maya Enclave, Hari Nagar, Delhi, have changed my name and shall hereafter be known as SRENIVAS VENKITESWARAN.

It is certified that I have complied with other legal requirements in this connection.

VENKITESWARAN SRENIVAS
[Signature (in existing old name)]

I, hitherto known as Shanu Rishi Jaisingh alias Matta Shanu Shrichand wife of Shri Diwan Pankaj Bhupendra, a housewife and residing at D-112, Anand Vihar, Delhi-110092, have changed my name and shall hereafter be known as Jiya Pankaj Diwan.

It is certified that I have complied with other legal requirements in this connection.

Shanu Rishi Jaisingh alias Matta Shanu Shrichand
[Signature (in existing old name)]

I, EKTA GOEL Wife of ARUN AGGARWAL, Residing at 30/22 1st Floor Shakti Nagar, Delhi-110007, have changed the name of my minor son ABHISHEK AGGARWAL Age 14 years and he shall hereafter be known as RISHABH GOEL.

It is certified that I have complied with other legal requirements in this connection.

EKTA GOEL
[Signature of Guardian]

I, hitherto known as RENU TAYAL Wife of Mr. MUKESH MITTAL, residing at H. No. 441 KH, Navalpura-2, Khurja, Dist. Bulandshahr, U.P - 203131, have changed my name and shall hereafter be known as RENU MITTAL.

It is certified that I have complied with other legal requirements in this connection.

RENU TAYAL
[Signature (in existing old name)]

I, hitherto known as Upvan Madhur Son of Mr. Madhur Prakash, employed as independent Lawyer, residing at SA-73, Shastri Nagar, Ghaziabad, Uttar Pradesh-201002, have changed my name and shall hereafter be known as Upvan Madhur Prakash.

It is certified that I have complied with other legal requirements in this connection.

Upvan Madhur
[Signature (in existing old name)]

I, Deepak Kumar Aggarwal S/o Visheshar Dayal, residing at 2466, Chippiwada, Dharampura, Chandni Chowk, Delhi-110006, have changed the name of my minor son Pratham Aggarwal aged 6 years and he shall hereafter be known as Pratham Mittal.

It is certified that I have complied with other legal requirements in this connection.

Deepak Kumar Aggarwal
[Signature of Guardian]

I, hitherto known as SHALI BHADRA JAIN Son of SUBHASH JAIN, residing at GP-32, PITAMPURA, DELHI-110034, have changed my name and shall hereafter be known as GAUTAM JAIN.

It is certified that I have complied with other legal requirements in this connection.

SHALI BHADRA JAIN
[Signature (in existing old name)]

I, hitherto known as Ashish Khandelwal S/o Sh. Jagdish Prasad Khandelwal, R/o 4683/18, Deputy Ganj, Sadar Bazar, Delhi-110006, have changed the name of my minor son from Vardhan Khandelwal age about 17 years and he shall hereafter be known as Rakshit Khandelwal.

It is certified that I have complied with other legal requirements in this connection.

Ashish Khandelwal
[Signature of Guardian]

I, hitherto known as Gagan W/O Nitin Suri R/O at B-541, Sec-1, Avantika, Rohini, Delhi-110085, have changed my name and shall hereafter be known as Danya Suri.

It is certified that I have complied with other legal requirements in this connection.

Gagan
[Signature (in existing old name)]

I, hitherto known as SAMEER THAKUR, son of Mr. Surendra Prasad Thakur, residing at GA-66, Anandam, NTPC SAS Ltd., P-6, Builders Area, Greater Noida - 201310 (U.P.), have changed my name and shall hereafter be known as JAY THAKUR.

It is certified that I have complied with other legal requirements in this connection.

SAMEER THAKUR
[Signature (in existing old name)]

I, Sudesh Kumar S/o Chander Singh, residing at H. No. 61, VPO- Tajpur Kalan Delhi-110036, have changed the name of my minor son Sonal aged 14 years and he shall hereafter be known as Sonal Balayan.

It is certified that I have complied with other legal requirements in this connection.

Sudesh Kumar
[Signature of Guardian]

I, hitherto known as LT COL S ASHWIN son of SHRI K SUNDER employed as Quality Control Engineer in the (name of office) DET BWG (Q), 505 Army Base WKSP residing at (present address) 12, STATION ROAD, DELHI CANTT-10 has changed my name and shall hereafter be known as LT COL ASHWIN SUNDER.

It is certified that I have complied with other legal requirements in this connection.

S ASHWIN
[Signature (in existing old name)]

I, hitherto known as ISUKAPALLI SAI GOPI CHAND Son of ISUKAPALLI BADARI, residing at H. No. 15-21-150/20/1, Balaji Nagar, Kukatpally, Hyderabad, Telangana, India - 500072, have changed my name and shall hereafter be known as SAI GOPI CHAND.

It is certified that I have complied with other legal requirements in this connection.

ISUKAPALLI SAI GOPI CHAND
[Signature (in existing old name)]

I, Deepak Kumar Jain son of Sh. Prem Chand Jain, resident of Flat 50A, Pocket A-12, Kalkaji Extension, New Delhi-110019, have Changed the name of my minor daughter Vernika Jain, D.O.B. 18/10/2007 and she shall hereafter be known as AMAYRA JAIN.

It is certified that I have complied with other legal requirements in this connection.

Deepak Kumar Jain
[Signature of Guardian]

I, Deepak Kumar Jain son of Sh. Prem Chand Jain, resident of Flat 50A, Pocket A-12, Kalkaji Extension, New Delhi-110019, have changed the name of my minor daughter Anushka Jain, D.O.B. 23/05/2001 and she shall hereafter be known as ANNUSHKA JAIN.

It is certified that I have complied with other legal requirements in this connection.

Deepak Kumar Jain
[Signature of Guardian]

I, hitherto known as Birju Prasad Son of Rangunandan Sharma, residing at A-36A, Sanjay colony Batti Mines, New Delhi-110074, have changed my name and shall hereafter be known as Birju Sharma.

It is certified that I have complied with other legal requirements in this connection.

Birju Prasad
[Signature (in existing old name)]

I, hitherto known as RAMESH CHANDER Son of BHAIRON NATH, employed as Senior Supervisor (Telecommunications Consultants India Limited, A Government of India Undertaking), residing at B-2/47, Street No.11, Dashrath Puri, New Delhi-110045, have changed my name and shall hereafter be known as "RAMESH CHANDER VERMA".

It is certified that I have complied with other legal requirements in this connection.

RAMESH CHANDER
[Signature (in existing old name)]

I, Mahesh Chander Joshi son of Late Sh. P. B. Joshi, employed as Pioneer in the Border Roads Organization residing at 191, Type-I Govt. Press Colony Mayapuri, New Delhi, have changed the name of my minor daughter Aakansha Joshi aged 13 years and she shall hereafter be known as AANCHAL JOSHI.

It is certified that I have complied with other legal requirements in this connection.

Mahesh Chander Joshi
[Signature of Guardian]

I, hitherto known as Tarun Kumar Son of Shri. Raghubir Singh, residing at C-4/111, Sector-6, Rohini, Delhi-110085, have changed my name and shall hereafter be known as Tarun Kumar Ranga.

It is certified that I have complied with other legal requirements in this connection.

Tarun Kumar
[Signature (in existing old name)]

I, hitherto known as Devendra Kumar @ Devendra Kumar Khari s/o Mangat Singh residing at R/o H.- 22 sector -22, Distt. G. B. Nagar, Noida (UP), have changed my name and shall hereafter be known as Devendra Kumar Singh.

It is certified that I have complied with other legal requirements in this connection.

Devendra Kumar @ Devendra Kumar Khari
[Signature (in existing old name)]

I, hitherto known as ARVIND PRASAD S/O Sh. JAGDISH PRASAD, employed as peon in the New Delhi Municipal Council, residing at the D-109, Ganesh Nagar, Pandav Nagar Complex, Delhi-110092, Have changed my name and shall hereafter be known as Arvind Prasad Kharwar.

It is certified that I have complied with other legal requirements in this connection.

ARVIND PRASAD
[Signature (in existing old name)]

I, hitherto known as RITU CHAUHAN Wife of SANJAY PRASAD residing at Q-5/190, Mangolpuri, New Delhi-110083, have changed my name and shall hereafter be known as RITU DEVI.

It is certified that I have complied with other legal requirements in this connection.

RITU CHAUHAN
[Signature (in existing old name)]

I, hitherto known as NIRMALA KUMARI wife of Shri Babu Lal, a housewife, residing at A-8, Anand Niketan, near Moti Bagh Gurdwara, New Delhi-110021, have changed my name and shall hereafter be known as NIRMALA MAKHIJA.

It is certified that I have complied with other legal requirements in this connection.

NIRMALA KUMARI
[Signature (in existing old name)]

I, hitherto known as SEEMA JATOLIYA Daughter of RAM PRAKASH Wife of RAJESH KUMAR, employed as Self Employed (Professional), residing at 10/1, Amrit Puri, Tank Road, Karol Bagh, New Delhi-110005, have changed my name and shall hereafter be known as SEEMA.

It is certified that I have complied with other legal requirements in this connection.

SEEMA JATOLIYA
[Signature (in existing old name)]

I, hitherto known as BABU LAL son of Shri Brij Lal, employed as business, residing at A-8, Anand Niketan, near Moti Bagh Gurdwara, New Delhi-110021, have changed my name and shall hereafter be known as BABOO LAL.

It is certified that I have complied with other legal requirements in this connection.

Babu Lal
[Signature (in existing old name)]

I, Manpreet Singh Son of Sh. Harbhajan Singh, Employed as self employed in Jalandhar, residing at Village Puran Pur, P.O. Parasrampur, Distt. Jalandhar have changed the name of my minor son Jasmeet Singh Bhangu aged 17 years and he shall hereafter be known as Jasbir Singh.

It is certified that I have complied with other legal requirements in this connection.

Manpreet Singh
[Signature of Guardian]

I, Ajay Singhal Son of Kailash Chand, residing at A-3/018, Tower-7, Silver city, sector-93A, Noida (U.P), have changed the name of my minor Son Vishu Singhal aged 15 years and he shall hereafter be known as Kunal Singhal.

It is certified that I have complied with other legal requirements in this connection.

Ajay Singhal
[Signature of Guardian]

I, hitherto known as Savita Wife of Prashant Thakur, a student residing at D-89, Mohan Garden, Uttam Nagar, New Delhi-110059, have changed my name and shall hereafter be known as Vanya Thakur.

It is certified that I have complied with other legal requirements in this connection.

Savita
[Signature (in existing old name)]

I, hitherto known as Roma Sanger Wife of Deepak Sharma, residing at E3/460, Paradise App. Sector-18, Rohini, Delhi-110089, have changed my name and shall hereafter be known as Roma Sharma.

It is certified that I have complied with other legal requirements in this connection.

Roma Sanger
[Signature (in existing old name)]

I, hitherto known as BALDEV RAJ S/o Sh. MUNSHI RAM, residing at 3089/226, Chander Nagar, Tri Nagar, Delhi-110035, have changed my name and shall hereafter be known as BALDEV SINGH.

It is certified that I have complied with other legal requirements in this connection.

BALDEV RAJ
[Signature (in existing old name)]

I, ANIL KUMAR SINGHAL S/o MOTI LAL SINGHAL, residing at C-39, Ground Floor, Gulab Bagh, Om Vihar, Uttam Nagar, Delhi-110059, have changed the name of my minor daughter KHUSHI AGGARWAL aged 14 years and she shall hereafter be known as KHUSHI SINGHAL.

It is certified that I have complied with other legal requirements in this connection.

ANIL KUMAR SINGHAL
[Signature of Guardian]

I, hitherto known as Archana Uniyal @ Archana Jyoti D/o Sh. Shiv Sharan Uniyal R/o D-4, Third Floor, Jangpura-B, New Delhi-110014, have changed my name and shall hereafter be known as Archana Jyoti.

It is certified that I have complied with other legal requirements in this connection.

Archana Uniyal @ Archana Jyoti
[Signature (in existing old name)]

I, Shruti Sachdev wife of Shri Ramit, residing at 36/42, West Punjabi, Bagh, New Delhi-110026, have changed the name of my minor Daughter TARINI BUDHRAJA aged 15 years, and she shall hereafter be known as TARINI S BUDHRAJA.

It is certified that I have complied with other legal requirements in this connection.

Shruti Sachdev
[Signature of Guardian]

I, hitherto known as Nitesh Daughter of Shri Suresh Dahiya, a Student, residing at H.no. -6/1, Rohtak Road, Kharkhoda, Sonipat (Haryana), have changed my name and shall hereafter be known as Nitya.

It is certified that I have complied with other legal requirements in this connection.

Nitesh
[Signature (in existing old name)]

I, hitherto known as Kunal Son of Ashok Kumar Gupta residing at Professor Colony, Gali no. -1, Tajpur Road, Samastipur, Bihar - 848101, have changed my name and shall hereafter be known as Kunal Gupta.

It is certified that I have complied with other legal requirements in this connection.

Kunal
[Signature (in existing old name)]

I, hitherto known as Radha Daughter of Anil Rai, residing at H. No. 654, Ward No. 7, New Dalbir Nagar, Kutani Road, Panipat-132103, have changed my name and shall hereafter be known as Naina.

It is certified that I have complied with other legal requirements in this connection.

Radha
[Signature (in existing old name)]

I, hitherto known as Parvesh Kumar Son of Pratap Rai, residing at B/6-407, Second Floor, Sector -17, Rohini Delhi-110085, have changed my name and shall hereafter be known as Parvesh Kumar Balwani.

It is certified that I have complied with other legal requirements in this connection.

Parvesh Kumar
[Signature (in existing old name)]

I, Sanjeev Sirohi Son of Shri Satya Vir Singh, Employed as Inspector in the C.I.S.F., residing at flat No.-C1 CISF 5th Res BN Complex Indrapuram, Ghaziabad (UP), have changed the name of my minor son Sirohi Sajal Sanjeev aged 17 years and he shall hereafter be known as Sajal Sirohi.

It is certified that I have complied with other legal requirements in this connection.

Sanjeev Sirohi
[Signature of Guardian]

I, hitherto known as NAMISHA ARORA wife of Sh. Sumit Chugh, residing at KP-189, Pitampura, Delhi - 110034, have changed my name and shall hereafter be known as MISHA CHUGH.

It is certified that I have complied with other legal requirements in this connection.

NAMISHA ARORA
[Signature (in existing old name)]

I, hitherto Known as Rinki Gupta D/o Sh. Narender Gupta W/o Sh. Deepak Gupta, Presently R/o E-19/254, Sector-3, Rohini, Delhi-110085, have changed my name and shall hereafter be known as Chandni Gupta.

It is certified that I have complied with other legal requirements in this connection.

Rinky Gupta
[Signature (in existing old name)]

I, hitherto known as Deepak Kumar S/o Sh. Darshan Kumar R/o A-90, Surajmal Vihar, Delhi-110092, have changed my name and shall hereafter be known as Deepak Sharma.

It is certified that I have complied with other legal requirements in this connection.

Deepak Kumar
[Signature (in existing old name)]

I, hitherto known as Vikrum Baidyanath S/o Sh. Ramakant Sharma, R/o D-28. South City-I, Gurgaon-122001 (Haryana), have changed my name and shall hereafter be known as Vikram Baidyanath.

It is certified that I have complied with other legal requirements in this connection.

Vikrum Baidyanath
[Signature (in existing old name)]

I, hitherto known as Chander Mohini Tandon Wife of Shri Vimal Tandon, residing at Flat No. -3C, Ekta Enclave, Paschim Vihar, New Delhi-110087, have changed my name and shall hereafter be known as Mohini Tandon.

It is certified that I have complied with other legal requirements in this connection.

Chander Mohini Tandon
[Signature (in existing old name)]

I, hitherto known as Rajeev Kumar Son of Des Raj, residing at C-134, Ashoka Enclave-2, Sector-37, Faridabad (Haryana)-121003, have changed my name and shall hereafter be known as Rajeev Kumar Malik.

It is certified that I have complied with other legal requirements in this connection.

Rajeev Kumar
[Signature (in existing old name)]

I, hitherto known as Harendra Pal Singh Son of Shri Dharam Singh, residing at Aspire 1/802, Emerald Court, Sec-93A, Expressway, Noida UP -201304, have changed my name and shall hereafter be known as Harendra Pal Singh.

It is certified that I have complied with other legal requirements in this connection.

Harendra Pal Singh
[Signature (in existing old name)]

I, Manoj Kumar Sharma son of Shri Shankar Lal Sharma, employed as an Assistant Manager -IT in the Parle Biscuits Pvt. Ltd., residing at 220/11, Daya Nand Nagar, Bahadurgarh District Jhajjar, Haryana, have changed the name of my minor son Kartik Dadheech aged 14 years and he shall hereafter be known as Bhavya Dadheech.

It is certified that I have complied with other legal requirements in this connection.

Manoj Kumar Sharma
[Signature of Guardian]

I, ANIL AHUJA SON OF LATE SHRI R.D. AHUJA R/O H. NO. 28-A, Shiv Puri, Delhi-110051, have changed the name of my minor son PRINCE AHUJA aged 14 years and he shall hereafter be known as DENISH AHUJA.

It is certified that I have complied with other legal requirements in this connection.

ANIL AHUJA
[Signature of Guardian]

I, Ravi Saini son of Late Shri Om Parkash Saini, employed as Businessman, residing at WZ 350/2, Sri Nagar, Rani Bagh, Delhi-110034, have changed the name of my minor son Nitin Saini aged 14 years and he shall hereafter be known as Naksh Saini.

It is certified that I have complied with other legal requirements in this connection.

Ravi Saini
[Signature of Guardian]

I, hitherto known as Bundu Son of Shahid Ahemad, residing at F-178, Street no. -2, Janta Mazdoor Colony, Delhi-110053, have changed my name and shall hereafter be known as Shabbir Ahemad.

It is certified that I have complied with other legal requirements in this connection.

Bundu
[Signature (in existing old name)]

I, hitherto known as RAJU Son of Late Satpal Bhati, residing at Hari Nagar Main Jaitpur Road Badarpur, New Delhi-110044, have changed my name and shall hereafter be known as RAJVEER.

It is certified that I have complied with other legal requirements in this connection.

RAJU
[Signature (in existing old name)]

I, hitherto known as Ram Bhajan Sharma S/o Ram Dev Sharma, residing at A-29/B, Block-"A", SurakshaVihar, Vikas Nagar, New Delhi-110059, have changed my name and shall hereafter be known as Ram Bhajan Thakur.

It is certified that I have complied with other legal requirements in this connection.

Ram Bhajan Sharma
[Signature (in existing old name)]

I, Karan Trehan son of Sh. Ajit Singh Trehan, employed as Pvt. service, residing at G-61/62, Kirti Nagar, New Delhi-110015, have changed the name of my minor son Ritvik Trehan aged 3 years and he shall hereafter be known as Aayushmaan Trehan.

It is certified that I have complied with other legal requirements in this connection.

Karan Trehan
[Signature of Guardian]

I, hitherto known as SUMIT GOYAL Son of Mr. HANUMAN PRASAD GOYAL, profession Advocate, practising independently before Honorable High Court of Chhattisgarh, at Bilaspur, residing at F-201, Friends Residency, Nutan Chowk, Sarkanda, Bilaspur, Chhattisgarh- 495001, have changed my name and shall hereafter be known as ROMIR SUMIT GOYAL.

It is certified that I have complied with other legal requirements in this connection.

SUMIT GOYAL
[Signature (in existing old name)]

I, hitherto known as PADMAKER son of JAGDISH SINGH KUSHWAHA, employed as DEPUTY ACCOUNTANT GENERAL at the Office of the Principal Accountant General (Audit) Haryana, Chandigarh in Indian Audit and Accounts Department, permanent resident of Priyadarshi Bhawan, C-100A, Ganesh Nagar, Pandav Nagar Complex, Delhi- 110092 and currently residing at 2161-B, Audit Pool Colony, Sector - 42C, Chandigarh, have changed my name and shall hereafter be known as PADMAKAR KUSHWAHA.

It is certified that I have complied with other legal requirements in this connection.

PADMAKER
[Signature (in existing old name)]

I, hitherto known as ROHIT NAIK son of Shri ARAVIND NAIK, employed as COMMERCIAL/ADMIN OFFICER, residing at Flat No. 101, Green Field Apartments, Kevnem, Caranzalem, Panjim - 403 002, Goa, have changed my name and shall hereafter be known as ROHAB SHAIKH.

It is certified that I have complied with other legal requirements in this connection.

ROHIT NAIK
[Signature (in existing old name)]

I, hitherto known as REETU Daughter of SH. VED PARKASH and wife of SH. ARUN KUMAR GARG residing at C-93, Saket Court Residential Complex, Saket, New Delhi-110017, have changed my name and shall hereafter be known as RITU GARG.

It is certified that I have complied with other legal requirements in this connection.

REETU
[Signature (in existing old name)]

I, hitherto known as yasmeen ismail sayed wife of manish kumar, residing at M-44 shyam park nawada, uttam nagar, new delhi -110059, have changed my name and shall hereafter be known as yashika manish kumar.

It is certified that I have complied with other legal requirements in this connection.

yasmeen ismail sayed
[Signature (in existing old name)]

CHANGE OF RELIGION

I, ROHIT NAIK son of Shri ARAVIND NAIK, employed as COMMERCIAL/ADMIN OFFICER in the ASIA PACIFIC ENGINEERING SERVICE, Dr. A. B. Road, PANJIM, GOA, residing at Flat No. 101, Green Field Apartments, Kevnem, Caranzalem, Panjim-403 002, Goa, do hereby solemnly affirm and declare that I have embraced ISLAM and renounced HINDU Religion with effect from 18-05-2015.

It is certified that I have complied with other legal requirements in this connection.

ROHIT NAIK
[Signature]

I, Yasmeen Ismail Sayed wife of Shri Manish Kumar, residing at M-44, Shyam Park Nawada, Uttam Nagar, New Delhi-110059, do hereby solemnly affirm and declare that I have embraced Hindu religion and Renounced Muslim religion with effect from 03-11-2014.

It is certified that I have complied with other legal requirements in this connection.

Yasmeen Ismail Sayed
[Signature]

PUBLIC NOTICE

It is for general information that I, KASTURI VENKATESH Son of KASTURI PRAKASH, Private Employee, Residing at D.No: 14-96, Nehru Nagar, Sector-3, Near Sivalayam, Arilova, VISAKHAPATNAM - 530040, Andhra Pradesh declare that the name of mine and my father 's name has been wrongly written as KOTAPATI VENKATESH and KOTAPATI KRISHNAIAH in my educational documents, ID Proofs and in other documents. The actual name of mine and my father's names are KASTURI VENKATESH and KASTURI PRAKASH respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KASTURI VENKATESH
[Signature]

It is for general information that I, DINESH KUMAR S/O HEMRAJ, residing at B-1/37, VISHNU GARDEN, NEW DELHI-110018, declare that name of mine and my wife has been wrongly written as DINESH BANSAL and NEELAM BANSAL in my minor daughter MUSKAN BANSAL'S (age 14 years) educational documents. The actual name of mine and my wife is DINESH KUMAR and NEELAM RANI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DINESH KUMAR
[Signature]

I, Hemendra Yadav S/o Late Sh. H.S.Yadav R/O 34-B, Krishan Kunj Colony, Laxmi Nagar, Delhi -110092, hereby declare as per Hon'ble District Judge East District's Judgment that name of parents of my adopted minor daughter Sakshi Yadav aged 15 years are Hemendra Yadav and Smt. Rajni Yadav for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

Hemendra Yadav
[Signature of Guardian]

It is for general information that I, Mahesh Kumar Bihani, son of Hari Prasad Bihani, residing at 18/1B, Hindusthan Road, Kolkata-700029, West Bengal, have declared that Mahesh Kumar Maheswari and Mahesh Kumar Bihani is the same person and that is me, and henceforth I will be known as Mahesh Kumar Bihani only for all purposes.

It is certified that I have complied with other legal requirements in this connection.

Mahesh Kumar Bihani
[Signature]

It is for general information that I, Sanjay Choudhary S/O Shri Gurupad Choudhary residing at G-309 J J Colony Wazir Pur Delhi-110052, declare that name of mine and my father have been wrongly written as Sanjay and Gurupad in my Educational documents and in the other documents. The actual name of mine and my father is Sanjay Choudhary and Shri Gurupad Choudhary respectively. Which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Sanjay Choudhary
[Signature]

It is for general information that I, JASMEET S/O SURINDER SINGH, residing at 2087, G/F. R/S Main Road, Prem Nagar, Delhi-110008, declare that name of my father has been wrongly written as KEWAL SINGH in all my educational documents. The actual name of my father is SURINDER SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JASMEET
[Signature]

It is for general information that I, Santra, W/o Late Sh. Attar Singh Rana, R/o 153, Main Palam Vihar Road, Near Seema Hospital, Village Bijwasan, New Delhi-110061, declare that my name has been wrongly written as "Santosh Rana" in my husband's service book, Pension book and in Medical Card. The actual name of mine is "SANTRA", which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Santra
[Signature]

It is for general information that I, Sanjay Son of Madan Mahto, residing at E-35, Sector -25, Rohini, Delhi-110085, declare that name of mine has been wrongly written as Sanjay Kumar in my Driving License. The actual name of

mine is Sanjay respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Sanjay
[Signature]

It is for general information that I, MOHD SAMEER KHAN S/o MOHD NASIM, residing at C-5/355, J J Colony, Bhalswa Dairy, Delhi-110042, declare that name of mine has been wrongly written as MOHD SAMEER in my Educational Documents. The actual name of mine is MOHD SAMEER KHAN respectively which may be amended accordingly

It is certified that I have complied with other legal requirements in this connection.

MOHD SAMEER KHAN
[Signature]

It is for general information that I, Sh. Mukesh Kumar Jain S/o Sh. Harish Chander Jain, residing at GD-208 Vishakha Enclave, Pitam Pura, New Delhi-110034, declares that name of mine has been wrongly written as Mukesh Jain in my minor son Shubh Jain (15 years) educational documents/School records. The actual name of mine is Mukesh Kumar Jain respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Mukesh Kumar Jain
[Signature]

It is for general information that I, KISHAN S/o Sh. BAL MUKAND, residing at 458 T Huts, Kabir Nagar, Rana Pratap Bagh, Malka Ganj, Delhi-110007, declare that name of mine has been wrongly written as KISHAN SINGH in my Driving License and in the other documents. The actual name of mine is KISHAN respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KISHAN
[Signature]

It is for general information that I, Nishchal Rastogi Son of Suresh Chander Rastogi, residing at A-129, Gali no-2, Swami Shrdhanand Park Bhalswa Dairy, Delhi-110042 inform that name of mine and my father has been wrongly written as Nishchal and Suresh Rastogi in my Driving License. The actual name of mine and my father is Nishchal Rastogi and Suresh Chander Rastogi respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Nishchal Rastogi
[Signature]

It is for general information that I, MOHAMMAD IMRAN S/o ASH MOHAMMAD, residing at H-4, Jhuggi B-Block, H. No:-273, Jahangir Puri, Delhi-110033, declare that name of mine and my father and my mother has been wrongly written as MOHAMMAD IMRAN KHAN and AAS MOHAMMAD and NAIMUM BEGUM in my educational documents. The actual name of mine and my father and my mother are MOHAMMAD IMRAN and ASH MOHAMMAD and NAIMUN BEGUM respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHAMMAD IMRAN
[Signature]

It is for general information that I, Bablu Prasad son of Guman Prasad, residing at Jhuggi No-111, Gali No-7, Mahavir Enclave, Palam, Delhi-110045, declare that name of mine has been wrongly written as Bablu in my educational documents. The actual name of mine is Bablu Prasad respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Bablu Prasad
[Signature]

It is for general information that I, NAGAMANI KOPPULA S/o SUBBA RAO, residing at 2 Amn Sub Depot, Pin - 909182, C/O 56 APO, declare that name of mine and my wife has been wrongly written as K NAGAMANI and K LAKSHMI in my minor son's KOPPULA KARTHIK age 15 years his educational documents. The actual name of mine and my wife is NAGAMANI KOPPULA and K LAKSHMI KUMARI respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NAGAMANI KOPPULA
[Signature]

It is for general information that I, SURENDRA SINGH YADAV S/o RAM GOPAL YADAV, residing at P-45/2, Type-III, Raksha Vihar Colony, Shyam Nagar, Kanpur-208013, declare that name of mine has been wrongly written as S S YADAV in my minor son's HIMANSHU YADAV age 17 years his educational documents. The actual name of mine is SURENDRA SINGH YADAV respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SURENDRA SINGH YADAV
[Signature]

It is for general information that I, Dipesh Kumar Son of Shri Purushottam Lal Sharma, residing at H. No.- 63, Village Pooth Kalan, New Delhi - 110086, declare that name of mine and my father has been wrongly written as Dipesh and P. L. Sharma in my educational documents, Driving License and in the other documents. The actual name of mine and my father is Dipesh Kumar and Shri Purushottam Lal Sharma respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Dipesh Kumar
[Signature]

It is for general information that I, Munendra Singh son of Late Shri Padam Singh, Resident of H.NO.55, Pkt-12, Sector-24, Rohini, Delhi-110085, declare that my name has been wrongly written as Munendra in my educational documents. My actual name is Munendra Singh respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Munendra Singh
[Signature]

It is for general information that I, NIKUNJ KHETAN Son of MANOJ KUMAR KHETAN, residing at High School More, Dalkhola, North Dinajpur, West Bengal-733201, declare that name of mine has been wrongly written as NIKUNJ KUMAR KHETAN in 10th, 12th and University Educational Documents. My actual name is NIKUNJ KHETAN which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NIKUNJ KHETAN
[Signature]

It is for general information that I, Sanjay Sharma Son of Late Sh. Ram Sewak Sharma, R/o A-21, Swami Shradhanand Park Bhalswa Dairy Delhi-110042, declare that name of my father has been wrongly written as Sh. Ram Sewak in my Driving License and in the other documents. The actual name of my father is Late Sh. Ram Sewak Sharma respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Sanjay Sharma
[Signature]

It is for general information that I, Bhagwan Dass Son of Lal Chand, residing at C-80, Sanjay Colony, Bhatti Mines, Fatehpur Beri, South Delhi-110074, declare that name of mine has been wrongly written as Bhagwan in my minor daughter Jyoti aged 12 years Birth certificate. The actual name of mine is Bhagwan Dass respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Bhagwan Dass
[Signature]

It is for general information that I, KUMBHAR VITTHAL MAHALING S/O Sh. KUMBHAR MAHALING, residing at 412 MCO, Hazrat Nizamuddin Railway Station, New Delhi-110013, declare that name of my minor daughter KUMBHAR RESHMA VITTHAL, Aged 17 Yrs has been wrongly written as RESHMA KUMBHAR in her educational documents. The actual name of my minor daughter is KUMBHAR RESHMA VITTHAL respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KUMBHAR VITTHAL MAHALING
[Signature]

It is for general information that I, Suresh Kumar S/o Sh. Sunder Lal, R/o D-53/3, Mansarovar Garden, New Delhi-110015, do hereby declare that name of mine, my wife and my minor son has been wrongly written as Suresh Bansal, Seema Bansal and Piyush Bansal in my minor son's C.B.S.E. 10th Class Certificate and Marksheet. The actual name of mine, my wife and my minor son is Suresh Kumar, Seema and Piyush respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Suresh Kumar
[Signature]

It is for general information that I, NARESH KUMAR Son of RAM PRAKASH, residing at H.NO. D-1701, Jahangir Puri, Delhi-110033, declare that name of mine has been wrongly written as NARESH in my Driving License and in the other documents. The actual name of mine is NARESH KUMAR respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NARESH KUMAR
[Signature]

It is for general information that I, TARUN KUMAR KHANDUJA S/o SH. DESH RAJ KHANDUJA, residing at B-1/501, Plot No. 27, Sector-12, Sunny Valley CGHS Ltd., Dwarka, New Delhi- 110078, declare that name of mine has been wrongly written as TARUN KHANDUJA in my son's (Minor) named UTTKARSH KHANDUJA (AGE : 14 YRS) educational documents. The actual name of mine is TARUN KUMAR KHANDUJA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

TARUN KUMAR KHANDUJA
[Signature]

It is for general Information that I, MOHD ARSHAD S/o Shri Ayub Hassan, residing at Flat No.1795, 3rd Floor, Gali No.8, Govindpuri Extension, Kalkaji, New Delhi-110 019, declare that name of mine and my minor daughter NISHAT PARVEEN Aged 16 years has been wrongly written as MOHD ARSHAD KHAN and NISHAT in my daughter's Sr. Secondary Exam Certificate issued by CBSE Board. The actual name of mine and my daughter is MOHD ARSHAD and NISHAT PARVEEN Respectively.

It is certified that I have complied with other legal requirements in this connection.

MOHD ARSHAD
[Signature]

It is for general information that I, DEEPAK KUMAR MODI S/o Sh. NARAYAN MODI, residing at NH3 C-112, NTPC VSTPP, Vindhyanager, Distt. Singrauli, M.P.- 486885 declare that name of mine has been wrongly written as DEEPAK KUMAR in my educational documents (10th, 12th & Graduation) and in the other documents. The actual name of mine is DEEPAK KUMAR MODI respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK KUMAR MODI
[Signature]

It is for general information that I, KUMBHAR VITTHAL MAHALING S/o Sh. KUMBHAR MAHALING, residing at 412 MCO, Hazrat Nizamuddin Railway Station, New Delhi-110013, declare that name of my minor son KUMBHAR ANKUSH VITTHAL, Aged 12 Yrs has been wrongly written as ANKUSH KUMBHAR in his educational documents. The actual name of my minor son is KUMBHAR ANKUSH VITTHAL respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KUMBHAR VITTHAL MAHALING
[Signature]

It is for general information that I, KUMBHAR VITTHAL MAHALING S/o Sh. KUMBHAR MAHALING, residing at 412 MCO, Hazrat Nizamuddin Railway Station, New Delhi-110013, declare that name of my minor daughter KUMBHAR DEEPA VITTHAL, Aged 15 Yrs has been wrongly written as DEEPA KUMBHAR in her educational documents. The actual name of my minor daughter KUMBHAR DEEPA VITTHAL respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KUMBHAR VITTHAL MAHALING
[Signature]

It is for general information that I, PARDEEP KUMAR S/o Late JAMNA PRASAD, Residing at H. No. 29 Village Nahar Pur Sector-7 Rohini, Delhi-85 declare that DOB of mine and name of my father has been wrongly written as 15/04/1989 and Late JAMNA BANSAL in my Driving License. The actual DOB of mine/my father name is 01/04/1989 and LATE JAMNA PRASAD respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PARDEEP KUMAR
[Signature]

It is for general information that I, Tanushree Goel W/o Sh. Jitin Goel R/o Plot No. 89, 3rd Floor, North Extn., Near R-Block, Model Town, Delhi-110009, do hereby declare that name and date of birth of mine has been wrongly written as Tanushri Goel and 24.09.1983 in my Passport No. L5834553. The actual name and date of birth of mine is Tanushree Goel and 24.04.1983 respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Tanushree Goel
[Signature]

It is for general information that I, RAJ RANI Wife of RAJESH KUMAR, residing at 3/79, Gali No. 3, Punjabi Basti, Anand Parbat, New Delhi-110005, declare that name of mine has been wrongly written as RAJ KUMARI in my minor Daughter LEENA age 13 year her educational documents. The actual name of mine is RAJ RANI respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJ RANI
[Signature]

It is for general information that I, Chanchal Chauhan D/o Babber Bhan Singh, residing at 873/29, Gali No.2 Kakroi Road Vikash Nagar Sonapat. Declare that name of mine has been wrongly written as Preety Chauhan in my UTI Folio No. 4010110057339. The actual name of mine Chanchal Chauhan respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Chanchal Chauhan
[Signature]

It is for general information that I, Vinod Kumar Son of Bhudev Singh, residing at J-1905, Jahangir Puri, Delhi-110033, declare that name of mine has been wrongly written as Vinod kumar Ghosh in my Driving license and in the other documents. The actual name of mine is Vinod Kumar respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Vinod Kumar
[Signature]

It is for general information that I, SULTAN UDDIN CHAUDHRY S/o SALAH UDDIN CHAUDHRY, residing at 1590, Rodgran Chowk, Lal Kuan, Delhi-110006, declare that name of mine and my minor son and my wife has been

wrongly written as SULTAN CHAUDHARY and LAREEB and FARZANA CHAUDHARY in my minor Son LAAREB SULTAN CHAUDHRY aged 16 Year educational documents. The actual name of mine, my wife and my son are SULTAN UDDIN CHAUDHRY and FARZANA CHAUDHRY and LAAREB SULTAN CHAUDHRY respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SULTAN UDDIN CHAUDHRY
[Signature]

It is for general information that I, SURENDER SINGH S/o GURBACHAN SINGH, residing at B-56, Yadav Park, Main Rohtak Road, New Delhi-110041, declare that name of mine has been wrongly written as SURINDER SINGH in my educational Documents, Driving License, Pan Card, and in the other documents. The actual name of mine is SURENDER SINGH respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SURENDER SINGH
[Signature]

It is for general information that I, JASLEEN KAUR D/o SURENDER SINGH, residing at B-56, Yadav Park, Main Rohtak Road, New Delhi-110041, declare that name of my father has been wrongly written as SURINDER SINGH in my Educational Documents, Birth Certificate, Id card and in the other documents. The actual name of my father is SURENDER SINGH respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JASLEEN KAUR
[Signature]

It is for general information that I, Rupa Gurung Wife of Sh. Gopal Gurung, residing H. no.-87, Malik Pur Village, Delhi -110009, declare that name of mine, My Husband and my minor Daughter has been wrongly written as Roopa Garud, Sh. Gopal Garud and Sakshi in my minor daughter Sakshi Gurung aged 16 years educational documents. The actual name of mine, my Husband and my minor daughter is Rupa Gurung, Sh. Gopal Gurung and Sakshi Gurung respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Rupa Gurung
[Signature]

It is for general information that I, ROHIT ARORA S/o ANIL KUMAR ARORA, residing at C-3/150, Janak Puri, New Delhi-110058 declare that name of my father has been wrongly written as ANIL ARORA @ A. K. ARORA in my Birth Certificate, 10th Class Certificate, Driving License and in the other documents. The actual name of my father is ANIL KUMAR ARORA respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ROHIT ARORA
[Signature]

It is for general information that I, Lakhan S/o Late Sh. Kanwal Singh, R/o-2215, Type-II Quarters, Lodhi Road, Complex, Delhi, declare that my father name has been wrongly written as Sh. Kamal Singh in my educational Documents. The actual name of my father is Late Kanwal Singh respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Lakhan
[Signature]

It is for general information that I, Jagdish Singla Son of Panna Lal, residing at D 3/193,194, Sector-20, Rohini, Delhi -110086, declare that name of mine and my father has been wrongly written as Jagdish K Singla and PBL Singla in my Driving License and in the other documents. The actual name of mine and my father is Jagdish Singla and Panna Lal respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JAGDISH SINGLA
[Signature]

It is for general information that I, RAMEKBAL PRASAD KUSHWAHA Son of NARSINGH BHAGAT, residing at 459, Badli Village Badli Village, Delhi-110042, declare that name of mine and my father has been wrongly written as RAM KUMAR PRASAD and IQBAL PRASAD in my Driving License and in the other documents. The actual name of mine and my father is RAMEKBAL PRASAD KUSHWAHA and NARSINGH BHAGAT respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAMEKBAL PRASAD KUSHWAHA
[Signature]

It is for general information that I, MUSTAFA Son of SHEIKH SHAH ALAM, residing at 399, J J Colony, Block- A Bawana, Delhi-110039, declare that name of my father has been wrongly written as S S ALAM in my Driving License and in the other documents. The actual name of my father is SHEIKH SHAH ALAM respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MUSTAFA
[Signature]

It is for general information that I, Arpit Jalan Son of Late Surendra Kumar Jalan, residing at 24/4, Mohan Roy Para, Dayanagar, P. O. Khagra, Berhampore, Murshidabad. (W.B.) Pin-742103, declare that name of my father and my mother has been wrongly written as Kailash Kumar Rungta and Usha Rungta in my educational documents. The actual name of my father and my mother are Late Surendra Kumar Jalan and Usha Jalan respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Arpit Jalan
[Signature]

It is for general information that I, Ishwari Dutt Joshi S/o Shri Laxmi Dutt Joshi, employed as Draughts Man, Staff No. DM-13753 O/o Executive Engineer (Civil), MTNL, Eastern Court, New Delhi-110050, residing at 101-C, Block - U/3, Dhawalgiri Apartment, Sector-11, Noida, Distt. Gautam Budh Nagar, UP-201301 declares that name of mine and my father

has been wrongly written as Ishwari Dutt S/o Shri Laxmi Dutt in my educational documents / service book / Pan Card and other documents. The actual name of mine and my father is Ishwari Dutt Joshi S/o Shri Laxmi Dutt Joshi respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

Ishwari Dutt Joshi
[Signature]

It is for general information that I, ADITI JAMWAL D/o SUDHIR JAMWAL, Residing at 125-C, Block VP. Pitampura, Delhi-110034, declare that my date of birth has been wrongly written as 25.09.1991 in all my educational documents. My actual date of birth is 25.10.1991, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ADITI JAMWAL
[Signature]

It is for general information that I, AKSHAY JAMWAL S/o SUDHIR JAMWAL, Residing at 125-C, Block VP. Pitampura, Delhi-110034, declare that my date of birth has been wrongly written as 25.09.1991 in all my educational documents. My actual date of birth is 25.10.1991, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AKSHAY JAMWAL
[Signature]

LOST & FOUND

It is for general information that I, SAROJINI GUPTA W/o Sh. S. K. Gupta, residing at LD-82, Pitampura, Delhi-110034, declare that I have lost my C. A. No. SS/MBA/314 and SS/355 respectively.

It is certified that I have complied with other legal requirements in this connection.

SAROJINI GUPTA
[Signature]

नैशनल कोमोडिटी एण्ड डेरिवेटिव्स एक्सचेंज लि.,
मुंबई

भारत नैशनल कोमोडिटी एण्ड डेरिवेटिव्स एक्सचेंज लि., मुंबई के संस्था के अंतर्नियमों में किए गए निम्न संशोधनों के लिए भारत सरकार, वाणिज्य एवं उद्योग मंत्रालय की दि. 4 मई 1960 की अधिसूचना सं. एस.ओ. 1162 के अनुसरण में वायदा संविदा (विनियम) अधिनियम, 1952 (1952 का 74) की धारा 6 (3) के अंतर्गत वायदा बाजार आयोग के उप निदेशक का अनुमोदन 22 सितम्बर 2014 को प्राप्त हुआ और वह एतद्वारा अधिसूचित किया जाता है।

संशोधन

अनु. क्र.	धारा का विवरण	नई धारा
1.	धारा 3(1) की उप धारा (एलबी) की प्रविष्टि	(एलबी) मुख्य प्रबंधकीय कर्मी का अर्थ मुख्य कार्यकारी अधिकारी या प्रबंध निदेशक या प्रबंधक; कंपनी सचिव; पूर्णकालिक निदेशक; मुख्य वित्तीय अधिकारी; और ऐसे अन्य अधिकारी, जैसा केन्द्र सरकार द्वारा निर्धारित किया गया हो।
2.	धारा 126ए की प्रतिस्थापना	<p>126 ए. मंडल में (i) शेयरधारक निदेशकों; (ii) स्वतंत्र निदेशकों; और (iii) प्रबंध निदेशक का समावेश होना चाहिए।</p> <p>निदेशक मंडल का संयोजन निम्नानुसार होगा;</p> <p>1.1 स्वतंत्र निदेशक, निदेशक मंडल की क्षमता के 50% (एक-आधा) से कम नहीं होना चाहिए जिसका:-</p> <p>4. स्वतंत्र निदेशकों की नियुक्ति, वायदा बाजार आयोग द्वारा एफसीआर अधिनियम की धारा 6(2) (बी) के अंतर्गत होनी चाहिए और मंडल के शेष स्वतंत्र निदेशकों की नियुक्ति वायदा बाजार आयोग के पूर्व अनुमोदन के बाद एक्सचेंज द्वारा होनी चाहिए।</p> <p>1.2 मंडल के निदेशकों के शेष पदों में, अनुच्छेद, 1.1 के तहत स्वतंत्र निदेशक के तौर पर नियुक्त हुए लोगों के अलावा, शेयरधारक निदेशक का समावेश होना चाहिए और उक्त वर्ग में प्रबंधक निदेशक/मुख्य कार्यकारी के अलावा कोई भी पूर्णकालिक कार्यकारी निदेशक का भी समावेश होना चाहिए। शेयरधारक निदेशकों की नियुक्ति वायदा बाजार आयोग के पूर्व अनुमोदन के बाद एक्सचेंज द्वारा होनी चाहिए।</p> <p>1.3 प्रबंध निदेशक, मंडल का पदेन अधिकारी होना चाहिए और स्वतंत्र निदेशकों या शेयरधारकों निदेशकों के वर्ग में सम्मिलित नहीं होना चाहिए।</p> <p>1.4 एक्सचेंज के निदेशक मंडल का अध्यक्ष, स्वतंत्र निदेशक होना चाहिए और वायदा बाजार आयोग के पूर्व अनुमोदन के बाद नियुक्त होना चाहिए।</p> <p>1.5 बोर्ड में किसी भी ट्रेडिंग सदस्या या समाशोधन सदस्यों या उनके सहयोगियों और अभिकर्ताओं का समावेश नहीं होना चाहिए।</p> <p>1.6 विदेशी संस्थागत निवेशक/विदेशी पोर्टफोलियो निवेशक जैसा कि सिक्क्योरीटिज एण्ड एक्सचेंज बोर्ड ऑफ इंडिया (विदेशी पोर्टफोलियो निवेशक) के विनियम, 2014 में परिभाषित किया गया है, का बोर्ड में कोई प्रतिनिधित्व नहीं होना चाहिए।</p> <p>1.7 निदेशकों के तौर पर नियुक्त किए जाने वाले व्यक्तियों को, वायदा बाजार आयोग द्वारा निर्धारित मापदंड "योग्य और उचित व्यक्ति" को पूरा करना होगा।</p>
3.	धारा 126 बी की प्रतिस्थापना	<p>126 बी. एक्सचेंज को स्वतंत्र निदेशक के प्रत्येक रिक्त स्थान के लिए कम से कम दो नाम वायदा बाजार आयोग द्वारा निर्धारित प्रारूप में देने होंगे, तथापि, वायदा बाजार आयोग, एक्सचेंज द्वारा सुझाए गए नामों के अलावा कोई स्वतंत्र निदेशक की नियुक्ति कर सकता है।</p> <p>1.1 कंपनी अधिनियम, 2013 की धारा 149(6) में दिए गए मापदंड के साथ, एक्सचेंज के मंडल में स्वतंत्र निदेशक के तौर पर नामांकन/नियुक्ति के लिए पात्रता शर्तें निम्नानुसार हैं :-</p>

		<p>1.1.1 उम्मीदवार ईमानदार व्यक्ति होना चाहिए जो किसानों, ट्रेडरों, निर्यातकों, आयातकों, निवेशक संघों का प्रतिनिधित्व करता हो अथवा कोमोडिटी डेरीवेटिव्स बाजार, वित्त, लेखांकन, प्रबंधन, कानून में विशेषज्ञ कोमोडिटी अर्थशास्त्र/डेरीवेटिव्स ट्रेडिंग में शिक्षाविद होना चाहिए।</p> <p>1.1.2 उम्मीदवार के पास संबद्ध योग्य अनुभव/शैक्षणिक योग्यता होनी चाहिए।</p> <p>1.1.3 जो सार्वजनिक संस्थानों में विश्वास और जिम्मेदारी पूर्ण पद पर कार्यरत है/थे अथवा जो ऐसे पदों से निवृत्त हुए हैं उन्हें दूसरे उम्मीदवारों से पहले प्राथमिकता दी जायेगी।</p> <p>1.1.4 जो बाजार में नियमित ट्रेडर/सट्टेबाज हैं, उनको ऐसे नामांकन के लिए पात्र नहीं समझा जाएगा।</p> <p>1.2. निदेशक मंडल में स्वतंत्र निदेशक के नियुक्ति के लिए कार्यकाल और नियम व शर्तें निम्न प्रकार से होगी:-</p> <p>1.2.1. स्वतंत्र निदेशक के लिए कार्यकाल साधारणतः तीन वर्षों का होना चाहिए। तथापि, वायदा बाजार आयोग, यदि उचित समझे तो, स्वतंत्र निदेशक को तीन वर्षों से कम कार्यकाल के लिए नियुक्त कर सकता है। स्वतंत्र निदेशक की नियुक्ति को वायदा बाजार आयोग द्वारा किसी भी समय उसके कार्यकाल की समाप्ति के पूर्व समाप्त किया जा सकता है।</p> <p>1.2.2. स्वतंत्र निदेशकों का प्रत्येक 3 वर्षों का 2 कार्यकाल होगा।</p> <p>1.2.3. एक व्यक्ति को, एक ही समय पर एक से ज्यादा कोमोडिटी एक्सचेंजों में स्वतंत्र निदेशक के तौर पर कार्यरत नहीं होना चाहिए।</p> <p>1.2.4. स्वतंत्र निदेशकों को दिया जाने वाला पारिश्रमिक कंपनी अधिनियम 2013 की धारा-197 के प्रावधानों के अनुसार होना चाहिए।</p> <p>1.2.5. स्वतंत्र निदेशकों को निदेशक मंडल की सभी बैठकों में उपस्थिति रहने का प्रयास करना चाहिए और यदि वो निदेशक मंडल की लगातार तीन बैठकों में अथवा कैलेंडर वर्ष में निदेशक मंडल की कुल बैठकों के पचहत्तर प्रतिशत तक अनुपस्थित रहते हैं तो वे कार्यालय खाली करने के लिए उत्तरदायी होंगे।</p> <p>1.2.6. स्वतंत्र निदेशक को महत्वपूर्ण मुद्दों पर विचार विमर्श करने के लिए छः महीनों में कम से कम एक बार अलग से मिलना चाहिए।</p> <p>1.2.7. स्वतंत्र निदेशक मंडल/समिति की बैठक की समाप्ति के एक सप्ताह के अंदर, मंडल/समिति द्वारा लिए गए निर्णय को लेकर कोई भी विचारों में अंतर या असहमति के मामले में या कोई भी अन्य बात जो वो वायदा बाजार आयोग के ध्यान में लाना चाहते हो, वायदा बाजार आयोग को रिपोर्ट प्रस्तुत कर सकते हैं।</p> <p>1.2.8. स्वतंत्र निदेशक को सौंपा गया काम या उसकी स्थिति और उसकी भूमिका को लेकर यदि कोई भी विवाद उत्पन्न होता है तब वायदा बाजार आयोग का निर्णय अंतिम होगा।</p> <p>1.2.9. स्वतंत्र निदेशक के कार्यकाल के विस्तार या नए स्वतंत्र निदेशक की नियुक्ति के मामले में, कार्यकाल की समाप्ति के दो महीनों पूर्व एक्सचेंज का वायदा बाजार आयोग को आवेदन करना चाहिए। यहां निर्धारित अन्य आवश्यकताओं के अतिरिक्त, स्वतंत्र निदेशक के कार्यकाल के विस्तार के लिए आवेदन के साथ-साथ, विभिन्न अनिवार्य समितियों और एक्सचेंज के निदेशक मंडल की बैठकों में उसकी उपस्थिति का विवरण दिया जाना चाहिए।</p> <p>1.2.10. स्वतंत्र निदेशक नियमित आवर्तन द्वारा सेवानिवृत्ति के अधीन नहीं होना चाहिए।</p>
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		1.2.11. मौजूदा स्वतंत्र निदेशक को, जब तक नया स्वतंत्र निदेशक उसके स्थान पर नियुक्त नहीं हो जाता तब तक पद पर बने रहना होगा।
4.	नई धारा 126डी की प्रविष्टि	<p>126डी. 1.1. शेयरधारक निदेशकों के तौर पर नियुक्ति किए जाने वाले व्यक्तियों का नाम, पहले एक्सचेंज के निदेशक मंडल द्वारा अनुमोदित किया जाएगा, तत्पश्चात, शेयरधारकों के अनुमोदन के बाद, वायदा बाजार आयोग के अनुमोदन हेतु प्रस्तुत किया जायेगा।</p> <p>1.2. शेयरधारक निदेशकों के चुनाव का तरीका, नियुक्ति, कार्यकाल, त्यागपत्र, अवकाश इत्यादि कंपनी अधिनियम, 2013 और सूचीबद्ध कंपनियों के मामले में सहेजे गए ईक्विटी सूचीकरण अनुबंध अन्यथा एफसीआर अधिनियम और इस आधार पर जारी निर्देशों के तहत विशेष रूप से प्रदत्त के अधीन होगा।</p>
5.	नई धारा 126 ई की प्रविष्टि	<p>126 ई 1.1 एक्सचेंज के प्रत्येक निदेशक को आचार संहिता का पालन करना होगा। आचार संहिता नीचे दी गई है:</p> <p>1. सभाएं और कार्यवृत्त.</p> <p>एक्सचेंज के प्रत्येक निदेशक को (ए) किसी भी विषय वस्तु जिसमें हित का कोई भी संघर्ष मौजूद हो या उत्पन्न होता हो, चाहे आर्थिक या अन्यथा, के विचार विमर्श में भाग नहीं लेना चाहिए और ऐसे मामलों में इसका खुलासा करना चाहिए और सभा के कार्यवृत्त में दर्ज करना चाहिए; (बी) सभा के दौरान एजेंडा (कार्यसूची) के कागजात के परिचालन को प्रोत्साहित नहीं करना चाहिए; (सी) कार्यवृत्त के प्रारूप पर उनकी टिप्पणी देनी चाहिए और सुनिश्चित करना चाहिए कि वे अंतिम कार्यवृत्त में सम्मिलित किए जाएं; (डी) पिछली सभा के कार्यवृत्तों को अनुमोदन के लिए अगली सभा में रखे जाने का आग्रह करना चाहिए; (ई) निदेशक मंडल के अन्य सदस्यों के परामर्श के साथ प्रत्येक निदेशक मंडल की सभा में तय हुई अगली सभा की दिनांक को जानने का प्रयास करना चाहिए; (एफ) समय के अभाव में सभा की कार्यसूची के सभी विषयों को नहीं किए जाने पर यह सुनिश्चित करना चाहिए कि, शेष विषयों को लेने हेतु अगली सभा पंद्रह दिनों के अंदर की जाए।</p> <p>ii. नीतिगत योजना.</p> <p>एक्सचेंज के प्रत्येक निदेशक को (ए) एक्सचेंज के सर्वोत्तम हित में रणनीतियों के सूत्रीकरण और क्रियान्वन में भाग लेना चाहिए और निदेशक मंडल स्तर पर सक्रिय निर्णयन में भाग लेना चाहिए; (बी) एक्सचेंज को उनके अनुभव और विशेषज्ञता का लाभ देना चाहिए और सामाजिक योजना बनाने और निर्णयों के क्रियान्वन में सहायता करनी चाहिए।</p> <p>iii. नियामक अनुपालन.</p> <p>एक्सचेंज के प्रत्येक निदेशक को (ए) सुनिश्चित करने का प्रयास करना चाहिए कि एक्सचेंज, आयोग के तहत बनाए गए एफसीआर अधिनियम के प्रावधानों, नियमों और विनियमों और समय समय पर जारी परिपत्रों, निर्देशों का पालन करता है; (बी) सभी स्तरों पर अनुपालन का प्रयास करना चाहिए ताकि नियामक तंत्र को किसी भी उल्लंघन का सामना न करना पड़े; (सी) सुनिश्चित करने का प्रयास करना चाहिए कि, एक्सचेंज सुधारात्मक कार्यवाही के लिए मंडल/आयोग द्वारा निर्धारित समय सीमा के अनुरूप कदम उठाए; (डी) निदेशक मंडल की बैठक में किसी भी निर्णय का, जो निवेशकों के हित पर प्रतिकूल प्रभाव डालता हो, समर्थन नहीं करना चाहिए और ऐसे किसी भी निर्णय के विषय में आयोग को तत्काल सूचित करें।</p> <p>iv. साधारण जिम्मेदारी.</p> <p>एक्सचेंज के प्रत्येक निदेशक को (ए) निवेशकों की शिकायतों के निपटारे को प्राथमिकता देना चाहिए और निष्पक्ष रवैये को प्रोत्साहित करना चाहिए ताकि एक्सचेंज कोमोडिटी के वायदा बाजार के विकास में सहयोगी हो;</p>

	<p>(बी) एक्सचेंज के मामलों/विवादों का पेशेवर क्षमता, स्पष्टता, निष्पक्षता, दक्षता, प्रभावशीलता के साथ विश्लेषण और सही संचालन का प्रयास करना चाहिए; (सी) समय-समय पर एक्सचेंज के नियम या संस्था के अंतर्नियम अनुसार एक्सचेंज की जरूरत के मुताबिक कोमोडिटी में धारिता/लेनदेन का आवश्यक खुलासा/बयान जमा करना चाहिए; (डी) जब तक कानूनी रूप से आवश्यक ना हो, गोपनीयता बनाए रखे और उनके कर्तव्य निर्वाहन के समय प्राप्त किसी भी जानकारी को प्रकाशित/खुलासा न करे और ऐसी किसी भी जानकारी का उपयोग व्यक्तिगत लाभ के लिए ना करे; (ई) जनता के विश्वास को प्रेरित करने के लिए कर्तव्य निर्वाहन में उच्च स्तर पर व्यक्तिगत निष्ठा, सत्यनिष्ठा, ईमानदारी और धैर्य बनाए रखे और ऐसे कार्यों में शामिल ना हो जो उनकी जिम्मेदारियों को लज्जित करे; (एफ) उनके कर्तव्यों को स्वतंत्र और वस्तुनिष्ठ तौर पर निभाना चाहिए और ऐसी गतिविधियों से बचना चाहिए जो उनकी स्वतंत्रता या वस्तुनिष्ठता या अधिकारिक कर्तव्यों को क्षीण करे या क्षीण करता प्रतीत हो; (जी) उनके कर्तव्यों को सकारात्मक रवैये के साथ निभाए और रचनात्मक ढंग से खुले संचार, सर्जनशीलता, निष्ठा और सहानुभूति का समर्थन करे; (एच) नैतिक अधमता, बेईमानी, धोखा, कपट, या गलत बयानी या कोई भी अन्य कार्य जो एक्सचेंज के प्रशासन के प्रतिकूल हो ऐसे किसी भी कार्य में सम्मिलित ना हो; और (आई) कंपनी अधिनियम, 2013 के अनुसूची IV में विशेष तौर पर दिए गए आचार संहिता का पालन करे।</p> <p>1.2. नीचे दिए अनुसार एक्सचेंज के प्रत्येक निदेशक और मुख्य प्रबंधन कर्मियों को नीति संहिता का पालन करना होगा:</p> <p>नीति संहिता</p> <p>i. उद्देश्य और अंतर्निहित सिद्धांत.</p> <p>निष्पक्ष और पारदर्शी बाजार को स्थापित करने के लिए एक्सचेंज के निदेशकों और मुख्य प्रबंधन कर्मियों को कारोबार/व्यवसायिक नैतिकता के न्यूनतम स्तर को स्थापित करना होगा जिनका इन निदेशकों और मुख्य प्रबंधन कर्मियों द्वारा पालन किया जाएगा। नीति संहिता निम्नलिखित मौलिक सिद्धांतों पर आधारित है:</p> <p>ए) एक्सचेंज और निवेशकों से संबंधित मामलों के साथ व्यवहार करते हुए निष्पक्षता और पारदर्शिता।</p> <p>बी) विनियामक माध्यमों/एक्सचेंज द्वारा दिए गए सभी कानून/नियम/विनियम का अनुपालन।</p> <p>सी) कर्तव्य पालन में कर्मठता।</p> <p>डी) निदेशकों/मुख्य प्रबंधन कर्मियों में व्यक्तिगत हितों और एक्सचेंज और निवेशकों के हितों के मध्य टकराव से बचाव</p> <p>ii. सामान्य मानक.</p> <p>ए) निदेशकों और मुख्य प्रबंधन कर्मियों में, अधिक से अधिक जागरूकता और नैतिक जिम्मेदारी की समझ के प्रसार के लिए प्रयास करना चाहिए।</p> <p>बी) निदेशकों और मुख्य प्रबंधन कर्मियों को उनके कारोबार के आचरण में उच्च स्तरीय व्यवसायिक प्रतिष्ठा और ट्रेड के न्यायिक सिद्धान्तों का निरीक्षण करना चाहिए।</p> <p>सी) कारोबार में निदेशकों/मुख्य प्रबंधन कर्मियों का आचरण अनुकरणीय है।</p> <p>डी) निदेशकों और मुख्य प्रबंधन कर्मियों को उनके पद का प्रयोग एक्सचेंज, प्रौद्योगिकी या सेवा प्रदाताओं और एक्सचेंज के विक्रेताओं के कार्यकारी या प्रशासनिक कर्मचारी वर्ग को/से फायदा लेने/देने के लिए नहीं करना चाहिए।</p> <p>ई) निदेशकों/मुख्य प्रबंधन कर्मियों को ऐसा कोई कृत्य नहीं करना चाहिए जिससे एक्सचेंज की प्रतिष्ठा पर संशय हो।</p>
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		<p>एफ) निदेशकों, समिति सदस्यों और मुख्य प्रबंधन कर्मियों को कोमोडिटी बाजार पर लागू सभी नियमों और विनियमों का पालन करना चाहिए।</p> <p>iii. एक्सचेंज के मुख्य प्रबंधन कर्मियों द्वारा कोमोडिटी के लेनदेन का प्रकटन।</p> <p>ए) एक्सचेंज द्वारा निर्धारित किये अनुसार, (जो कि मासिक भी हो सकता है), मुख्य प्रबंधन कर्मियों को आवधिक आधार पर प्रत्यक्ष या अप्रत्यक्ष तौर पर एक्सचेंज के सौदों में उनके सभी लेनदेन का प्रकटन, निदेशक मंडल/नीनी समिति/अनुपालन अधिकारी को देना चाहिए।</p> <p>बी) एक्सचेंज के संविदा लेनदेन, उत्पादों के लिए ट्रेडिंग प्रतिबंध के अधीन होंगे, जिसके विषय में मान्यता प्राप्त कोमोडिटी एक्सचेंज में मुख्य प्रबंधन कर्मियों के पास असामान्य मूल्य संवर्धनशील जानकारी हो सकती है।</p> <p>iv. एक्सचेंज के निदेशकों द्वारा एक्सचेंज में कोमोडिटी के लेनदेन का प्रकटन:-</p> <p>ए) निदेशकों और उनके परिवार द्वारा, एक्सचेंज पर सौदों में सभी लेनदेन का प्रकटन एक्सचेंज के निदेशक मंडल को प्रस्तुत करना चाहिए।</p> <p>बी) सभी निदेशकों को उन संस्था/कोर्पोरेट निकाय द्वारा, जिनमें वे बीस प्रतिशत या ज्यादा हितकारी लाभ रखते हैं या नियंत्रण रखते हों, की गई ट्रेडिंग का प्रकटन नीति समिति को देना चाहिए।</p> <p>v. हितों के संघर्षों को टालना :</p> <p>ए) निदेशक मंडल का कोई भी निदेशक या एक्सचेंज की किसी भी समिति का सदस्य किसी भी निर्णयन/न्यायिक निर्णय में किसी भी व्यक्ति/मामले के संबंध में जिसमें उसका किसी भी तरह, प्रत्यक्ष या अप्रत्यक्ष तौर पर संबंध/हित हो भाग नहीं लेना चाहिए।</p> <p>बी) किसी मामले में हितों का कोई संघर्ष है या नहीं, यह निदेशक मंडल द्वारा तय किया जाना चाहिए।</p> <p>vi. लाभकारी हितों का प्रकटन.</p> <p>सभी निदेशकों/मुख्य प्रबंधन कर्मियों को पदभार ग्रहण करने पर और कार्यालय में उनके कार्यकाल के दौरान निम्नलिखित कारणों के उत्पन्न होने पर निदेशक मंडल को प्रकटन करना होगा:-</p> <p>ए) स्वयं का और परिवार के सदस्यों का कोई भी विश्वसनीय संबंध और किसी भी ट्रेडिंग सदस्या या समाशोधन सदस्य में स्वयं की ओर परिवार के सदस्यों की निदेशक पदता/साझेदारी;</p> <p>बी) अन्य कोई कारोबारी हित।</p> <p>vii. एक्सचेंज के दैनिक कामकाज में अध्यक्ष और निदेशकों की भूमिका :</p> <p>ए) अध्यक्ष और निदेशकों को एक्सचेंज के दैनिक कामकाज में हस्तक्षेप नहीं करना चाहिए और नीति संबंधित मामलों और विवादों पर निर्णयन को लेकर अपनी भूमिका को सीमित करना चाहिए, जैसा कि निदेशक मंडल तय कर सकता है।</p> <p>बी) अध्यक्ष और निदेशकों को एक्सचेंज के कर्मचारियों के दैनिक कामकाज के संचालन करते समय उन्हें प्रभावित करने से बचना चाहिए।</p> <p>सी) अध्यक्ष और निदेशकों के जब तक विशेषतः निदेशक मंडल द्वारा तय ना किया गया हो कर्मचारियों की नियुक्ति और</p>
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		<p>पदोन्नति के काम में प्रत्यक्ष रूप से सम्मिलित नहीं होना चाहिए।</p> <p>viii. जानकारी तक पहुंच.</p> <p>ए) निदेशकों को जानकारी की मांग, सिर्फ विशिष्ट समिति का भाग होने पर या निदेशक मंडल द्वारा अधिकृत होने पर ही करनी चाहिए।</p> <p>बी) निर्धारित माध्यम के जरिए जानकारी का गमन होना चाहिए और जिसकी लेखापरीक्षा भी होनी चाहिए। गोपनीय दस्तावेजों/जानकारी की कोई भी पुनः प्राप्ति को उचित रूप से दर्ज करना चाहिए।</p> <p>सी) ऐसी सभी जानकारी, विशेषतः जो असामान्य और मूल्य संवदेनशील हो, गोपनीय रखा जाना चाहिए और किसी भी नीति हित/लाभ के लिए उपयोग नहीं किया जाना चाहिए।</p> <p>डी) एक्सचेंज के कारोबार/संचालन से संबंधित कोई भी जानकारी, जो निदेशकों/मुख्य प्रबंधन कर्मियों को उनके कार्य के दौरान पता चलती है तो, उसे अत्यंत गुप्त रखा जाना चाहिए, किसी तीसरे पक्ष के समक्ष खुलासा नहीं करना चाहिए और उनके कर्तव्य को निभाने के अलावा किसी अन्य तरीके से उपयोग नहीं करना चाहिए।</p> <p>ix. पद का दुरुपयोग.</p> <p>ए) निदेशकों/समिति सदस्यों को संस्था में उनके स्वयं के या परिवार के सदस्यों के लिए कारोबार/कोई भी आर्थिक लाभ के लिए पद का उपयोग नहीं करना चाहिए।</p> <p>बी) हालांकि इस संहिता का उद्देश्य बाजार की अखंडता और निवेशकों के भरोसे को बढ़ाना है, यह स्पष्ट किया जाता है कि लिखित नीति संहिता उच्च मानकों के पालन का आश्वासन नहीं है। यह तभी संभव हो सकता है जब एक्सचेंज के निदेशक और मुख्य प्रबंधन कर्मी व्यवस्था में निष्पक्षता और अखंडता को बढ़ाने को लेकर शबदशः स्वयं प्रतिबद्ध हों।</p> <p>सी) एक्सचेंज द्वारा यह सुनिश्चित किया जाना चाहिए कि उनके द्वारा नियुक्त सभी मुख्य प्रबंधन कर्मी वायदा बाजार आयोग द्वारा निर्धारित "योग्य और उचित व्यक्ति" के मापदंड को पूरा करते हों।</p> <p>1.3 वायदा बाजार आयोग, निदेशकों द्वारा इन मानदंडों या आचार संहिता या नीति संहिता या हित के संघर्ष के मामले में किसी भी विफलता के लिए, एक्सचेंज से निर्देश मिलने पर या स्वप्रेरणा से, किसी भी निदेशक या मुख्य प्रबंधन कर्मी की नियुक्ति को, उसे सुनने का उचित अवसर देने के बाद निष्कासित या समाप्त करने सहित, उचित कार्रवाई कर सकता है।</p>
6.	धारा 128 की प्रतिस्थापना	<p>128.1.1. प्रबंधन निदेशक/मुख्य कार्यकारी की नियुक्ति, नियुक्ति का नवीनीकरण, सेवाओं की नियम व शर्तों (पारिश्रमिक सहित), और सेवाओं का समय पूर्व समापन, (यदि प्रबंधन निदेशक/मुख्य कार्यकारी को ऐसे समापन या निष्कासन के लिए सुनवाई का अवसर दिए जाने पर), वायदा बाजार आयोग के पूर्व अनुमोदन के अधीन रहेगा, हालांकि, प्रबंधन निदेशक/मुख्य कार्यकारी के स्वेच्छा से त्यागपत्र देने पर, जो निदेशक मंडल द्वारा स्वीकृत किया जा चुका है, एक्सचेंज, इस बारे में वायदा बाजार आयोग को सूचित करना हो।</p> <p>1.2. अप्रत्याशित कारणों से प्रबंधन निदेशक की रिक्तता होने पर, एक्सचेंज वायदा बाजार आयोग को त्यागपत्र जमा कराने या ऐसी रिक्तता होने के बाद से 60 दिनों के अंदर नए नाम देने चाहिए।</p>

	<p>1.3. निष्कासन/त्यागपत्र/सेवाओं का समयपूर्ण समापन या किसी भी अन्य कारण से प्रबंधन निदेशक/मुख्य कार्यकारी का पद रिक्त होने के मामले में, मंडल प्रबंधन निदेशक/मुख्य कार्यकारी का कामकाज देखने के लिए एक उपयुक्त व्यवस्था करेगा, जब तक नया पदधारी, प्रबंधन निदेशक/मुख्य कार्यकारी के रूप में नियुक्त नहीं हो जाता।</p> <p>1.4. प्रबंधन निदेशक की नियुक्ति तीन वर्षों के कार्यकाल से कम नहीं और पांच वर्षों से अधिक नहीं होनी चाहिए।</p> <p>1.5. एक्सचेंज के प्रबंधन निदेशक को निम्नलिखित नहीं करना चाहिए। ए) एक्सचेंज का शेयरधारक या शेयरधारक सहयोगी या एक्सचेंज के किसी सहायक का शेयरधारक नहीं बनेगा, जैसा भी मामला हो; बी) ट्रेडिंग सदस्य या समाशोधन सदस्य, या उसका सहयोगी और अभिकर्ता, या ट्रेडिंग सदस्य का या समाशोधन सदस्य का शेयरधारक; या ट्रेडिंग सदस्य या समाशोधन सदस्य के सहायक और अभिकर्ता का शेयरधारक नहीं बनेगा। सी) एक्सचेंज की सहायक संस्था में या एक्सचेंज से जुड़ी किसी भी अन्य संस्था में कोई पद ग्रहण नहीं करेगा। बशर्ते कि, एक्सचेंज का प्रबंधन निदेशक, निदेशक मंडल में नियुक्त किया जा सकता है, पर एक्सचेंज की सहायता संस्था में प्रबंधन निदेशक के रूप में नहीं, जैसा भी मामला हो।</p> <p>1.6. एक्सचेंज, प्रबंधन निदेशक/मुख्य कार्यकारी जैसा भी मामला हो, के चयन के लिए समिति का गठन करेगा, प्रबंधन निदेशक का चयन, कोमोडिटी बाजार/पूंजी बाजार/वित्त/प्रबंधन क्षेत्र में योग्य और पर्याप्त अनुभव रखने वाले व्यक्तियों में से, कम से कम एक राष्ट्रीय दैनिक में खुले विज्ञापन के माध्यम से होना चाहिए। एक्सचेंज के पुनर्नियुक्ति/विस्तार/नई नियुक्ति के प्रस्ताव के मामले में, वायदा बाजार आयोग को एक्सचेंज की ओर से प्रबंधन निदेशक/मुख्य कार्यकारी की नियुक्ति के लिए ऐसे प्रबंधन निदेशक के आखिरी कार्यकारी दिन के दो माह पूर्व प्रस्ताव दे देना चाहिए। एक्सचेंज को एक वचनपत्र देना होगा कि, प्रस्तावित व्यक्ति/यों के पिछले परिचय और अनुभव के सत्यापन में एक्सचेंज द्वारा आवश्यक सावधानी बरती गई है, प्रबंधन निदेशक/मुख्य कार्यकारी की नियुक्ति/सेवाओं के समापन के प्रस्ताव को, वायदा बाजार आयोग के अनुमोदन के लिए एक्सचेंज के निदेशक मंडल के पूर्व अनुमोदन के बाद वायदा बाजार आयोग को भेजा जाना चाहिए।</p> <p>1.7. चयन समिति के गठन में पांच लोग होना चाहिए, अर्थात्, दो स्वतंत्र निदेशक, दो शेयरधारक निदेशक और एक स्वतंत्र बाहरी व्यक्ति, आगे, इसके साथ, एक्सचेंज द्वारा यह सुनिश्चित किया जाना चाहिए कि, एक स्वतंत्र निदेशक, हर समय, चयन समिति और ऐसी समिति की बैठकों का सदस्य हो।</p> <p>1.8. प्रबंधन निदेशक/मुख्य कार्यकारी की नियुक्ति के लिए वायदा बाजार आयोग का अनुमोदन लेते समय, एक्सचेंज को, वायदा बाजार आयोग से प्रबंधन निदेशक/मुख्य कार्यकारी के पारिश्रमिक और नियमों व शर्तों के लिए भी अनुमोदन लेना चाहिए। प्रबंधन निदेशक/मुख्य कार्यकारी के पारिश्रमिक का प्रस्ताव रखते समय एक्सचेंज को निम्नलिखित बातों का ध्यान रखना चाहिए:</p> <ol style="list-style-type: none"> प्रबंधन निदेशक/मुख्य कार्यकारी की भूमिका और जिम्मेदारियां एक्सचेंज की वित्तीय स्थिति और हालत उद्योग के मानकों की तुलनात्मकता एक्सचेंज का राजस्व, शुभ लाभ एक्सचेंज को सुनिश्चित करना चाहिए कि, प्रबंधन निदेशक/मुख्य कार्यकारी के पारिश्रमिक का परिवर्तनशील घटक कुल पारिश्रमिक के एक तिहाई से अधिक नहीं होना चाहिए।
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7.	धारा 156 ए की प्रतिस्थापना	<p>156 A 1.1. एक्सचेंज के कामकाज का प्रभावी निरीक्षण करने के लिए, एक्सचेंज में निम्नलिखित अनिवार्य समितियां होनी चाहिए। एक्सचेंज अपनी आवश्यकता अनुसार और अधिक समितियां गठित कर सकता है।</p> <p>1. लेखा परीक्षा समिति:</p> <p>ए) समिति में स्वतंत्र निदेशकों की बहुसंख्या होनी चाहिए।</p> <p>बी) लेखा परीक्षा समिति की भूमिका कंपनी अधिनियम 2013 की धारा 177 अनुसार होगी।</p>

	<p>2. मुआवजा समिति:</p> <p>गठन :</p> <p>ए) समिति स्वतंत्र निदेशकों की बहुसंख्या में गठित होनी चाहिए और समिति का अध्यक्ष स्वतंत्र निदेशक होना चाहिए।</p> <p>भूमिका:</p> <p>ए) मुआवजा समिति मुआवजा नीति के अनुसार मुख्य कर्मियों के मुआवजे का निर्धारण करेगी।</p> <p>बी) प्रबंध निदेशक को दिया जाने वाला मुआवजा मंडल और वायदा बाजार आयोग के अनुमोदन अनुसार होना चाहिए। प्रबंधन निदेशक के मुआवजे के नियम व शर्तों में वायदा बाजार आयोग के पूर्व अनुमोदन के बिना कोई परिवर्तन नहीं होना चाहिए।</p> <p>सी) निदेशक के अतिरिक्त, मुख्य प्रबंधन कर्मों का कार्यकाल, निश्चित अवधि के लिए होना चाहिए, जैसा मुआवजा समिति द्वारा तय किया गया है।</p> <p>डी) मुआवजा समिति की भूमिका, कंपनी अधिनियम 2013 की धारा 178 के प्रावधानों द्वारा नियंत्रित होगी।</p> <p>ई) अन्य कोई भी भूमिका, जो समय-समय पर मंडल या वायदा बाजार आयोग द्वारा निर्धारित की गई हो।</p> <p>3. जोखिम प्रबंधन समिति:—</p> <p>गठन :</p> <p>ए) इसका गठन स्वतंत्र निदेशकों, शेयरधारक निदेशकों और स्वतंत्र बाहरी विशेषज्ञों से होना चाहिए, जो मंडल को रिपोर्ट करेंगे।</p> <p>बी) प्रबंध निदेशक, जोखिम प्रबंधन समिति का भी सदस्य होगा।</p> <p>भूमिका:</p> <p>ए) जोखिम प्रबंधन समिति द्वारा विस्तृत जोखिम प्रबंधन नीति तैयार की जानी चाहिए, जो निदेशक मंडल द्वारा अनुमोदित की जाएगी।</p> <p>बी) एक्सचेंज के जोखिम प्रबंधन विभाग का प्रमुख जोखिम प्रबंधन नीतियों को लागू करने के लिए जिम्मेदार होगा और जोखिम प्रबंधन समिति और एक्सचेंज के प्रबंध निदेशक को रिपोर्ट करेगा।</p> <p>सी) जोखिम प्रबंधन समिति, जोखिम प्रबंधन नीतियों के कार्यान्वयन पर निगरानी रखेगी और वायदा बाजार आयोग और निदेशक मंडल को इसके कार्यान्वयन और विचलन, यदि कोई हो तो, के बारे में सूचित करेगी।</p> <p>डी) जोखिम प्रबंधन समिति, एक्सचेंज की जोखिम रूपरेखा (कारोबार जोखिम, चूक जोखिम, निपटान जोखिम, बाजार जोखिम, कानूनी जोखिम, संचालन जोखिम, प्रौद्योगिकी जोखिम और वितरण जोखिम सहित) की पहचान, गणना और निगरानी रखने के लिए जिम्मेदार होगी;</p> <p>ई) बाजार में विकास होने पर, एक्सचेंज के एकीकृत जोखिम गणना तंत्र की देखरेख और जोखिम प्रारूप की समीक्षा करना;</p> <p>एफ) जोखिम की देखरेख करना और एक्सचेंज के तंत्र में नियंत्रण उपाय, जो कि बनाना आवश्यक है, और आवधिक अंतराल पर, उनके अनुपालन का निरीक्षण करना और सुधार के लिए सुझाव देना।</p> <p>जी) एक्सचेंज की वित्तीय और जोखिम प्रबंधन नीतियों को तैयार करना और समय-समय पर समीक्षा करना;</p> <p>एच) एक्सचेंज की अधिकतम जोखिम सीमा और ऋण सीमा का निर्धारण करना;</p> <p>आई) एक्सचेंज के सदस्यों, कोमोडिटी और भौगोलिक क्षेत्रों के जोखिम को सीमित करने के लिए रूपरेखा का निर्धारण करना; चूककर्ता के विरुद्ध कार्रवाई इत्यादि।</p> <p>जे) अन्य कोई भूमिका, जो मंडल या वायदा बाजार आयोग द्वारा समय-समय पर निर्धारित की गई हो।</p>
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		<p>4. अनुशासनात्मक कार्यवाही समिति:</p> <p>गठन:</p> <p>ए) अनुशासनात्मक कार्यवाही समिति का गठन स्वतंत्र निदेशकों, शेरधारक निदेशकों और एक्सचेंज के अधिकारियों से होना चाहिए।</p> <p>बी) अनुशासनात्मक कार्यवाही समिति स्वतंत्र निदेशकों की बहुसंख्या से गठित होनी चाहिए।</p> <p>सी) एक्सचेंज के अधिकतम दो मुख्य प्रबंधन कर्मी समिति में हो सकते हैं, जिसमें एक अनिवार्य तौर पर एक्सचेंज का प्रबंधन निदेशक होना चाहिए।</p> <p>भूमिका :</p> <p>ए) अनुशासनात्मक कार्यवाही समिति चेतावनी, आर्थिक जुर्माना, निलंबन, ट्रेडिंग की निकासी, निष्कासन सहित, नियामक कार्यवाही के लिए नीति का निर्धारण करेगी, जो एक्सचेंज के सदस्यों द्वारा विभिन्न उल्लंघनों के लिए लागू होगी।</p> <p>बी) दी गई नीति के आधार पर, अनुशासनात्मक कार्यवाही समिति, निरीक्षण इत्यादी के दौरान पाए गए उल्लंघन के मामलों को देखेगी और एक्सचेंज के सदस्यों पर उचित नियामक उपाय लागू करेगी।</p> <p>सी) नियामक उपाय लागू करते समय, अनुशासनात्मक कार्यवाही समिति, "स्वाभाविक न्याय के सिद्धान्तों" के आधार पर निर्धारित की गई प्रक्रिया अपनाएगी।</p> <p>डी) अन्य कोई भूमिका, जो मंडल या वायदा बाजार आयोग द्वारा समय-समय पर निर्धारित की गई हो।</p> <p>5. चूक समिति:</p> <p>गठन :</p> <p>ए) चूक समिति स्वतंत्र निदेशकों की बहुसंख्या से गठित होनी चाहिए।</p> <p>बी) एक्सचेंज के अधिकतम दो मुख्य प्रबंधन कर्मी चूक समिति में हो सकते हैं।</p> <p>सी) शेरधारक निदेशक भी चूक समिति में हो सकते हैं, और समिति में स्वतंत्र बाहरी व्यक्तियों, जैसे सेवानिवृत्त न्यायधीश इत्यादी को सम्मिलित किया जा सकता है।</p> <p>भूमिका :</p> <p>ए) सदस्य को चूककर्ता के तौर पर पहचानना और सूचित करना।</p> <p>बी) एक्सचेंज के नियम, उपनियम और विनियम के अनुसार, चूककर्ता/निष्कासित सदस्य की सभी संपत्तियों/जमा का पता लगाना और चूककर्ता/निष्कासित सदस्य के विभिन्न बकाया और दावों का विनियोजन करना।</p> <p>सी) यदि समाशोधन सदस्य और उसके विधायक ट्रेडिंग सदस्य, दोनों के चूककर्ता घोषित किए जाने के मामले में, एक्सचेंज की चूक समिति दोनों समाशोधन सदस्यों और ट्रेडिंग सदस्य की संपत्ति का पता लगाने के लिए कार्य करेगी।</p> <p>डी) चूककर्ता/निष्कासित सदस्यों की संपत्ति पर ग्राहक/ट्रेडिंग सदस्य/समाशोधन सदस्यों के दावों की स्वीकृति या अस्वीकृति।</p> <p>ई) अन्य कोई भूमिका, जो मंडल या वायदा बाजार आयोग द्वारा समय-समय पर निर्धारित की गई हो।</p> <p>6. प्रौद्योगिकी पर स्थायी समिति:</p> <p>गठन :</p> <p>प्रौद्योगिकी पर स्थायी समिति का गठन दो बाहरी विशेषज्ञों जो प्रौद्योगिकी में कुशल हों, और कम से कम एक स्वतंत्र निदेशक से होना चाहिए।</p>
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	<p>भूमिका:</p> <p>ए) यह जांचना कि, एक्सचेंज द्वारा उपयोग की जाने वाली प्रौद्योगिकी आधुनिक है, और बाजार की बढ़ती मांग के अनुरूप है।</p> <p>बी) तंत्र क्षमता की पर्याप्तता और एक्सचेंज में कुशलता की जांच करना एक्सचेंज द्वारा दिए गए सुझाव अनुसार मौजूदा सॉफ्टवेयर/हार्डवेयर में परिवर्तन को देखना।</p> <p>सी) कंप्यूटरीकृत ट्रेडिंग तंत्र की समस्याओं की जांच पड़ताल करना, जैसे हैंगींग/लो डाउन ब्रेकडाउन।</p> <p>डी) ऑनलाइन ट्रेडिंग तंत्र में स्लो डाउन/ब्रेकडाउन से संबंधित जानकारी के प्रसार की पारदर्शिता सुनिश्चित करना।</p> <p>ई) समिति, एक्सचेंज का निदेशक मंडल की रिपोर्ट प्रस्तुत करेगी।</p> <p>एफ) एक्सचेंज का निदेशक मंडल रिपोर्ट पर विचार-विमर्श करेगा और उपयुक्त कार्यवाही/उपचारात्मक उपाय करेगा।</p> <p>जी) ट्रेडिंग में किसी भी अवरोध के बारे में, मंडल और वायदा बाजार आयोग को समझाया और सूचित किया जाएगा। एक्सचेंज द्वारा ब्रेकडाउन के कारणों को निर्दिष्ट करनेवाली प्रेस विज्ञप्ति जारी की जानी चाहिए।</p> <p>एच) अन्य कोई भूमिका, जो मंडल या वायदा बाजार आयोग द्वारा समय-समय पर निर्धारित की गई हो।</p> <p>7. स्वतंत्र निदेशकों की समिति:</p> <p>गठन :</p> <p>उनकी बैठकों के दौरान, स्वतंत्र निदेशकों को निम्नलिखित की समीक्षा करनी चाहिए:</p> <p>ए) वायदा बाजार आयोग के परिपत्रों/पत्रों के साथ अनुपालन की वस्तु स्थिति।</p> <p>बी) नियामक कामकाज के लिए संसाधनों की पर्याप्तता सहित, नियामक विभाग के कामकाज की समीक्षा।</p> <p>सी) स्वतंत्र निदेशक, अन्य समितियों के कामकाज पर एक रिपोर्ट तैयार करेंगे, जहां वे स्वयं भी सदस्य हैं। रिपोर्ट अन्य स्वतंत्र निदेशकों को वितरित की जाएगी।</p> <p>डी) वायदा बाजार आयोग की निरीक्षण रिपोर्ट में सुझावों/पर्यवेक्षणों को लागू करने के लिए की गई कार्रवाई की समीक्षा।</p> <p>ई) एक्सचेंज के निदेशक मंडल को तब संयुक्त रिपोर्ट दी जानी चाहिए।</p> <p>एफ) स्वतंत्र निदेशक द्वारा, महत्वपूर्ण मुद्दों का, जिसमें एक्सचेंज के लिए हितों का संघर्ष का समावेश हो सकता है या जिसका बाजार पर महत्वपूर्ण प्रभाव पड़ सकता है, उन्हें पहचानना चाहिए और वायदा बाजार आयोग को उसकी रिपोर्ट सौंपनी चाहिए।</p> <p>जी) सभी स्वतंत्र निदेशकों को समिति की सभी बैठकों में अनिवार्य तौर पर हाजिर रहना चाहिए।</p> <p>एच) अन्य कोई भूमिका, जो मंडल या वायदा बाजार आयोग द्वारा समय-समय पर निर्धारित की गई हो।</p> <p>8. नैतिक समिति:</p> <p>गठन :</p> <p>नैतिक समिति का गठन स्वतंत्र निदेशकों, शेरधारक निदेशकों, मुख्य प्रबंधन कर्मियों और अनुपालन अधिकारी से होगा।</p> <p>भूमिका:</p> <p>ए) एक्सचेंज के निदेशकों और मुख्य प्रबंधन कर्मियों के लिए लागू नैतिक संहिता की देखरेख करना।</p>
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	<p>बी) संहिता को लागू करने की प्रक्रिया निर्धारित करना, और संहिता के तहत प्रकटन के लिए रिपोर्टिंग प्रारूप निर्धारित करना।</p> <p>अनुपालन अधिकारी नैतिक समिति की आवश्यकताओं पर अमल करेगा।</p> <p>1.2. समिति में नियुक्त किया गया स्वतंत्र बाहरी व्यक्ति, ईमानदार व्यक्तियों में से, अच्छी प्रतिष्ठा वाला और जिसके हितों का कोई संघर्ष नहीं होना चाहिए। वो समिति को दिए गए कार्य में विशेषज्ञ होना चाहिए। एक्सचेंज, स्वतंत्र बाहरी व्यक्ति की नियुक्ति, कार्यकाल, आचार संहिता के लिए दिशानिर्देशों की रूपरेखा तैयार करेगा। कार्यकाल की समाप्ति पर, कार्यकाल का विस्तार, कार्य में योगदान, बैठकों में उपस्थिति के अभिलेख इत्यादि की समीक्षा के आधार पर किया जा सकता है।</p> <p>मंडल/समिति बैठक की कार्यसूची, निरपवाद रूप से स्वतंत्र निदेशकों सहित समिति के सभी सदस्यों को मंडल/समिति की बैठक की दिनांक के सात कार्यकारी दिनों पूर्व दी जानी चाहिए। कार्यसूची, मंडल/समिति की बैठक में विचार विमर्श के लिए प्रत्येक बिंदू पर अंतर्निहित विस्तृत नोट के साथ होनी चाहिए। अत्यंत जरूरी स्वरूप की विषयवस्तु और प्रमुख नीतिगत मामला न हो, ऐसी कार्यसूची, अल्प सूचना पर दी जा सकती है, परंतु मंडल/समिति की बैठक से तीन कार्यकारी दिनों से कम समय में नहीं होनी चाहिए।</p> <p>1.3. एक्सचेंज, समिति के कामकाज का विवरण त्रैमासिक विकास रिपोर्ट के माध्यम से वायदा बाजार आयोग को सौंपेगा।</p>
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स्थान: मुंबई

समीर शाह

दिनांक :

प्रबंध निदेशक एवं मुख्य कार्यकारी

अधिकारी

भारत सरकार, वाणिज्य एवं उद्योग मंत्रालय की दि. 4 मई 1960 की अधिसूचना सं. एस.ओ. 1162 के अनुसरण में वायदा संविदा (विनियम) अधिनियम, 1952 (1952 का 74) की धारा 6 (3) के अंतर्गत, नेशनल कोमोडिटी एण्ड डेरिवेटिव्स एक्सचेंज लि. मुंबई के संस्था के अंतर्निर्णयों में किए गए निम्न संशोधनों के लिए उप निदेशक, वायदा बाजार आयोग का अनुमोदन दि. 18 जुलाई 2014 को प्राप्त हुआ है और वह एतद्वारा अधिसूचित किया जाता है।

संशोधन

अनु. क्र.	धारा का विवरण	नई धारा
1.	धारा 3(1) की उप धारा (डी), (आई), (जे) और (एन) का प्रतिस्थापन	<p>(डी) "समाशोधन सदस्य" का अर्थ मान्यता प्राप्त एक्सचेंज का विक्रय सह समाशोधन सदस्य अथवा संस्थागत समाशोधन सदस्य जिसको एक्सचेंज की व्यापार प्रणाली में निष्पादित संविदाओं में किए व्यवहारों का निपटान करने का अधिकार है।</p> <p>(आई) "डिपॉजिटरी" का अर्थ कंपनी अधिनियम, 1956 (1956 का 1) और अथवा कंपनी अधिनियम 2013 के अंतर्गत स्थापित तथा पंजीकृत कंपनी जिसे सिक्युरिटीज एण्ड एक्सचेंज बोर्ड ऑफ इंडिया, 1992(1992 का 15) की धारा 12 की उप-धारा (1ए) के अंतर्गत पंजीकरण का प्रमाणपत्र दिया गया है।</p> <p>(जे) "एक्सचेंज" अथवा "नेशनल कोमोडिटी एक्सचेंज" का अर्थ स्वतंत्र व्यवसायिक प्रबंधन, इलेक्ट्रॉनिक ट्रेडिंग प्लेटफॉर्म के साथ अखिल भारतीय प्रचालन के साथ डिम्युच्युअलाइज्ड कोमोडिटी डेरिवेटिव एक्सचेंज और जो वायदा संविदा (विनियम) अधिनियम, 1952 की धारा 6 के अंतर्गत मान्यता प्राप्त हो और उक्त अधिनियम की धारा 15 के तहत अधिसूचित किया गया हो या धारा 17 तहत ट्रेडिंग के लिए निषिद्ध नहीं किया गया हो।</p> <p>(एन) "विक्रय सदस्य" का अर्थ किसी मान्यता प्राप्त कोमोडिटी एक्सचेंज के सदस्य के रूप में विक्रय का अधिकार रखने वाला व्यक्ति।</p>

2.	<p>धारा 3(1) में उप धारा</p> <p>(एए), (एलए), (पीए), (पीबी), (पीसी), (पीडी), (एसए) के रूप में नये उपधाराओं का समावेश</p>	<p>(एए) “बैंकिंग कंपनी ” का अर्थ वही होगा जो उसे बैंकिंग नियमन अधिनियम, 1949 (1949 का 10) धारा 5 के खण्ड (सी) द्वारा दिया गया है।</p> <p>(एलए) “बीमा कंपनी” का अर्थ वहीं होगा जो उसे बीमा अधिनियम, 1938(1938 का 4) की धारा 2 की उप-धारा (8) द्वारा दिया गया है।</p> <p>(पीए) यथोचित परिवर्तन सहित शेयर्स अथवा मतदान के अधिकार अथवा नियंत्रण का संपादन अथवा अधिग्रहण के संदर्भ में “संस्था में कार्यरत व्यक्ति” का वही अर्थ होगा जो उसे सिक्युरिटीज एण्ड एक्सचेंज बोर्ड ऑफ इंडिया (सब्सटेंशियल एक्विजिशन ऑफ शेयर्स एण्ड टेकऑवर्स) विनियम, 2011 के विनियम 2 के उप-विनियम (1) के खण्ड(क्यू) अथवा उसके संशोधन के तहत दिया गया है।</p> <p>(पीबी) “भारत का निवासी व्यक्ति” का वही अर्थ होगा जो विदेशी मुद्रा प्रबंधन अधिनियम, 1999 (1999 का 42) की धारा 2 के खण्ड (वी) में दिया गया है। (पीसी) “भारत के बाहर रहने वाला व्यक्ति” का वही अर्थ होगा जो विदेशी मुद्रा प्रबंधन अधिनियम, 1999(1999 का 42) की धारा 2 के खण्ड (डब्ल्यू) में दिया गया है।</p> <p>(पीडी) “सार्वजनिक” में किसी सदस्य अथवा जनता के किसी भाग का समावेश है परन्तु इसमें किसी विक्रय सदस्य अथवा समाशोधन सदस्य अथवा उनके सहयोगी अथवा एजेंटों का समावेश नहीं है। बशर्ते कि सार्वजनिक क्षेत्र की बैंक, सार्वजनिक वित्तीय संस्थान, बीमा कंपनी, म्यूच्युअल फंड और सार्वजनिक क्षेत्र का वैकल्पिक निवेश फंड जिसके विक्रय सदस्यों अथवा समाशोधन सदस्यों के रूप में सहयोगी है वह सार्वजनिक मानी जाएगी।</p> <p>(एसए) “विक्रय-सह-समाशोधन सदस्य” का अर्थ जिस व्यक्ति को एक्सचेंज द्वारा समाशोधन सदस्य के रूप में एक्सचेंज के समाशोधन गृह के जरिए व्यापार करने और निपटान का अधिकार देकर प्रवेश दिया गया है।</p>
3.	वर्तमान धारा 3(5) की प्रतिस्थापना	<p>3(5) इन धाराओं में उपयोग किए गए और परिभाषित न किए गए परन्तु वायदा संविदा (विनियम) अधिनियम, 1952, कंपनी अधिनियम, 2013, सिक्युरिटीज एण्ड एक्सचेंज बोर्ड ऑफ इंडिया, 1992 सिक्युरिटीज संविदा (विनियम) अधिनियम, 1956, दि डिपॉजिटरीज अधिनियम, 1996 अथवा उनके अंतर्गत बनाए गए किसी नियमों अथवा विनियमों में परिभाषित किये गए शब्द तथा अभिव्यक्तियों का अर्थ वहीं होगा जो उन अधिनियमों, नियमों और विनियमों अथवा जैसा भी मामला हो, किसी सांविधिक संशोधनों अथवा उनके पुनःअधिनियमन द्वारा दिया गया हो।</p>
4.	(1) धारा 3(ए) का समावेश	<p>निवल मूल्य की आवश्यकता</p> <p>3 ए. (1) एक्सचेंज का न्यूनतम निवल मूल्य किसी भी समय पर एक सौ करोड़ रुपये का होना चाहिए। स्पष्टिकरण: इस धारा के उद्देश्य हेतु, एक्सचेंज के निवल मूल्य का अर्थ है कारोबार में निवेश द्वारा कम करते हुए चुकता किए गए इक्विटी शेयर केपिटल के समग्र मूल्य के साथ निर्बाध आरक्षित निधियां (वैधानिक धनराशि, लाभ धनराशि और पुनर्मूल्यांकन से सृजित की गई आरक्षित निधि को छोड़कर), चाहे संबंधित हो या असंबंधित हो, विविध व्यय सहित संचित किया गया नुकसान और आस्थगित व्यय का समग्र मूल्य खारिज न किया गया हो।</p> <p>(2) एक्सचेंज उपर निर्दिष्ट निवल मूल्य को प्राप्त करने तक उसके शेयरधारकों को किसी भी प्रकार से लाभ का वितरण नहीं करेगा।</p> <p>(3) एक्सचेंज सांविधिक लेखापरीक्षक से लेखापरीक्षित निवल मूल्य का प्रमाणपत्र पिछले वित्तीय वर्ष के लिए प्रत्येक वर्ष के 13 सितम्बर को वार्षिक आधार पर अथवा वायदा बाजार आयोग द्वारा जिस प्रकार से अनुमत निदेश दिया गया हो उस प्रकार से प्रस्तुत करेगा।</p>
5.	धारा 48ए का समावेश:	<p>शेयर्स प्राप्त करने अथवा धारण करने के लिए पात्रता</p> <p>48 ए. (1) इन धाराओं में कुछ भी निहित होते हुए भी, कोई भी व्यक्ति, प्रत्यक्ष अथवा अप्रत्यक्ष रूप से, एक्सचेंज के इक्विटी शेयर्स प्राप्त अथवा धारण नहीं करेगा जब तक वह वायदा बाजार आयोग द्वारा निर्धारित मानदण्ड के अनुसार, योग्य तथा उचित व्यक्ति न हो।</p>

	<p>(2) कोई भी व्यक्ति जो, प्रत्यक्ष या अप्रत्यक्ष रूप से, व्यक्तिगत रूप से अथवा समूह में कार्यरत व्यक्तियों के साथ एकत्रित रूप से, एक्सचेंज के इक्विटी शेयर्स को इस प्रकार से प्राप्त करता है कि एक्सचेंज में उसकी भागधारिता एक्सचेंज के प्रदत्त इक्विटी शेयर्स पूंजी के दो प्रतिशत से अधिक हो जाती है, तब वह शेयर्स की प्राप्ति के पन्द्रह दिनों के अन्दर वायदा बाजार आयोग का अनुमोदन प्राप्त करेगा।</p> <p>(3) कोई व्यक्ति उक्त खण्ड (3) के उप-खण्ड (2) के अंतर्गत इक्विटी शेयर्स पूंजी (एक्सचेंज में शेयरधारिता) के पांच प्रतिशत से ज्यादा हिस्सा प्राप्त करने के लिए पात्र हो, तो वह केवल तब ही इक्विटी शेयर पूंजी (एक्सचेंज में शेयरधारिता) के पांच प्रतिशत से ज्यादा हिस्सा प्राप्त कर सकता है, जब वह वायदा बाजार आयोग का पूर्वानुमोदन प्राप्त करता है।</p> <p>(4) कोई व्यक्ति एक्सचेंज की इक्विटी शेयर पूंजी के दो प्रतिशत से ज्यादा हिस्सा धारण करता हो, तो वह 6 मई 2014 से आरम्भ होने वाली 90 दिनों की अवधि में, उक्त उप-खण्ड(2) का अनुपालन सुनिश्चित रूप से करेगा।</p> <p>(5) यदि उक्त उप-खण्ड (2) या (3) के अंतर्गत किसी व्यक्ति को अनुमोदन नहीं दिया जाता है, तो ऐसा व्यक्ति तुरन्त उसके शेयरधारिता का विनिवेश करेगा।</p> <p>(6) एक्सचेंज की प्रदत्त इक्विटी पूंजी के दो प्रतिशत से अधिक शेयर धारण करने वाला कोई व्यक्ति एक्सचेंज को प्रत्येक वित्तीय वर्ष के अंत से पंद्रह दिनों के अन्दर इस प्रकार का घोषणापत्र प्रस्तुत करेगा कि उसने वायदा बाजार आयोग द्वारा निर्धारित योग्य व उचित मानदण्ड का अनुपालन किया है।</p> <p>(7) कोई व्यक्ति 'योग्य और उचित व्यक्ति' न रहने की स्थिति में, अथवा वायदा बाजार आयोग द्वारा उस प्रकार से घोषित किये जाने पर, ऐसा व्यक्ति एक्सचेंज में उसकी शेयरधारिता का तुरन्त विनिवेश करेगा, इसके अलावा, शेयर्स के विनिवेश के लम्बित रहने पर, एक्सचेंज में ऐसे व्यक्ति के मताधिकार बंद हो जाएंगे और उस के एवज में दिये जाने वाले कार्पोरेट लाभ एक्सचेंज द्वारा स्थगित किये/रोक दिये जाएंगे, एक्सचेंज द्वारा यदि उचित समझा जाए, तो ऐसे व्यक्ति की शेयरधारिता का तत्काल विनिवेश करने हेतु कदम उठाना सुनिश्चित करेगा।</p> <p>इन धाराओं के लिए, कोई व्यक्ति वायदा बाजार आयोग के उन निदेशों के अनुसार, योग्य और उचित व्यक्ति माना जाएगा, जैसा समय-समय पर संशोधित किया जाता हो, वर्तमान में निम्नानुसार—</p> <p>(अ) ऐसे व्यक्ति को सामान्य प्रतिष्ठा तथा निष्पक्षता और निष्ठा होनी चाहिए, जिसमें निम्न का समावेश हो पर उससे सीमित न हो—</p> <p>(i) वित्तीय निष्ठा;</p> <p>(ii) अच्छी प्रतिष्ठा और चरित्र; तथा</p> <p>(iii) ईमानदारी;</p> <p>(ब) ऐसे व्यक्ति को निम्न में से कोई अपात्रता नहीं होनी चाहिए—</p> <p>(i) वह व्यक्ति अथवा उसके पूर्ण कालिक निदेशक अथवा प्रबंध भागीदार को नैतिक अधमता अथवा किसी आर्थिक अपराध अथवा प्रतिभूति कानूनों के विरुद्ध किसी अपराध में शामिल होने के लिए न्यायालय द्वारा दोषी करार किया हो;</p> <p>(ii) व्यक्ति के विरुद्ध समापान का आदेश पारित किया गया हो;</p> <p>(iii) व्यक्ति अथवा उसके किसी पूर्ण कालिक निदेशक अथवा प्रबंध भागीदार को दिवालिया घोषित किया गया हो और सेवा मुक्त नहीं किया गया हो;</p> <p>(iv) व्यक्ति अथवा उसके किसी पूर्ण कालिक निदेशक अथवा प्रबंध भागीदार को कोमोडिटी डेरिवेटिव्स अथवा प्रतिभूति में व्यवहार करने पर अथवा कोमोडिटी डेरिवेटिव्स अथवा प्रतिभूति बाजार में प्रवेश से अवरोध रोक अथवा प्रतिबंध लगाने का आदेश वायदा बाजार आयोग अथवा किसी अन्य नियामक प्राधिकारी से पारित किया गया हो और आदेश में निर्देशित अवधि की समाप्ति के तारीख से तीन वर्षों की अवधि पूरी नहीं हुई हो।</p> <p>(v) व्यक्ति अथवा उसके किसी पूर्ण कालिक निदेशक अथवा प्रबंध भागीदार को कोमोडिटी डेरिवेटिव्स के विरुद्ध कोई अन्य आदेश, जिसका कोमोडिटी डेरिवेटिव्स</p>
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		<p>अथवा प्रतिभूति बाजार पर कोई असर पड़ता हो, जो वायदा बाजार आयोग अथवा किसी अन्य नियामक प्राधिकरण द्वारा पारित किये गये हो और आदेश की तारीख की तीन वर्षों की अवधि पूरी नहीं हुई हो।</p> <p>(vi) सक्षम न्यायालय द्वारा व्यक्ति अस्वस्थ मनोवस्था में पाया गया हो और यह निष्कर्ष प्रभावशील हो; और</p> <p>(vii) व्यक्ति आर्थिक रूप से मजबूत नहीं हो।</p> <p>कोई व्यक्ति योग्य और उचित व्यक्ति है या नहीं इसके बारे में उत्पन्न होने वाले सवाल के संदर्भ में, वायदा बाजार आयोग का निर्णय अंतिम होगा।</p>
6.	धारा 48 बी तथा धारा 163 ए का समावेश	<p style="text-align: center;">शेयरधारिता</p> <p>48 बी (1) एक्सचेंज की कम से कम इक्यावन प्रतिशत शेयर पूंजी जनता द्वारा धारित होनी चाहिए।</p> <p>(2) भारत का निवासी कोई भी व्यक्ति, प्रत्यक्ष अथवा अप्रत्यक्ष रूप से, या तो व्यक्तिशः अथवा समूह में कार्य करने वाले व्यक्ति के साथ, एक्सचेंज में प्रदत्त इक्विटी शेयर पूंजी के पाँच प्रतिशत से अधिक शेयर धारण नहीं करेगा।</p> <p>बशर्ते कि:</p> <ul style="list-style-type: none"> (i) कामोडिटी एक्सचेंज; (ii) स्टॉक एक्सचेंज; (iii) डिपॉजिटरी; (iv) बैंकिंग कंपनी; (v) बीमा कंपनी; और (vi) सार्वजनिक वित्तीय संस्थान <p>एक्सचेंज के प्रदत्त इक्विटी शेयर पूंजी का पंद्रह प्रतिशत तक हिस्सा या तो प्रत्यक्ष अथवा अप्रत्यक्ष रूप से या तो व्यक्तिशः अथवा समूह में कार्य करने वाले व्यक्ति के साथ धारण कर सकते हैं।</p> <p>(3) भारत के बाहर के कोई भी नागरिक, प्रत्यक्ष या अप्रत्यक्ष तौर पर, व्यक्तिशः या समूह में कार्य करने वाले व्यक्तियों के साथ एकत्र तौर पर चुकता किए गए इक्विटी शेयर पूंजी का पाँच प्रतिशत से ज्यादा प्राप्त या रख नहीं सकता।</p> <p>(4) निम्न के रहते हुए, मान्यता प्राप्त कोमोडिटी एक्सचेंज के प्रदत्त इक्विटी शेयर पूंजी में भारत के बाहर के निवासी व्यक्तियों की संयुक्त धारित राशि किसी भी समय पर, उसके कुल प्रदत्त इक्विटी शेयर पूंजी के उनचास प्रतिशत से अधिक नहीं होनी चाहिए:</p> <p>(अ) सीधे विदेशी निवेश के माध्यम से ऐसे व्यक्तियों द्वारा धारित संयुक्त शेयरधारिता किसी भी समय पर कुल प्रदत्त शेयर पूंजी के छब्बीस प्रतिशत से अधिक नहीं होनी चाहिए।</p> <p>(ब) विदेशी संस्थागत निवेशकों की संयुक्त शेयरधारिता किसी भी समय पर कुल प्रदत्त शेयर पूंजी तेईस प्रतिशत से अधिक नहीं होनी चाहिए।</p> <p>(क) कोई भी विदेशी संस्थागत निवेशक मान्यताप्राप्त कोमोडिटी एक्सचेंज के शेयर्स को सहायक बाजार के अलावा किसी अन्य तरीके से प्राप्त नहीं करेगा।</p> <p>स्पष्टीकरण:</p> <p>उक्त(क) के लिए, सहायक बाजारों के जरिए एक्सचेंज में शेयरों के अर्जन का अर्थ निम्नानुसार होगा:</p>

		<p>I. यदि एक्सचेंज सूचीबद्ध न हो, तो विदेश संस्थागत निवेशक मान्यताप्राप्त स्टॉक एक्सचेंज के बाहर किये गए लेनदेन के जरिए शेयर्स प्राप्त कर सकता है बशर्ते कि वह शेयर्स के आरम्भिक आबंटन न हो।</p> <p>II. यदि एक्सचेंज सूचीबद्ध हो, तो विदेश संस्थागत निवेशक द्वारा किया गया लेनदेन ऐसे मान्यताप्राप्त स्टॉक एक्सचेंज के जरिए किया जाएगा जहां ऐसे शेयर्स सूचीबद्ध हों।</p> <p>(5) कोई भी समाशोधन निगम, एक्सचेंज में, किसी प्रकार का अधिकार, हिस्सेदारी अथवा दिलचस्पी नहीं लेगा।</p> <p>(6) एक्सचेंज इस मामले में, सभी समय पर निगरानी रखेगा और वायदा बाजार आयोग द्वारा जारी, आवश्यकताओं तथा दिशानिर्देशों के साथ अनुपालन सुनिश्चित करेगा।</p> <p>(7) (i) एक्सचेंज में किसी भी व्यक्ति की शेयरधारिता अथवा मताधिकार इन धाराओं में निर्देशित सीमाओं से अधिक नहीं होनी चाहिए।</p> <p>(ii) इसके अलावा, इन धाराओं में निर्देशित शेयरधारिता में प्रत्यक्ष अथवा अप्रत्यक्ष रूप से, स्वामित्व का अथवा नियंत्रित, ऐसे किसी भी लेख-पत्र का समावेश होगा, जो भविष्य की तारीख पर इक्विटी के लिए पात्रता अथवा अधिकार प्रदान करता है।</p> <p>बशर्ते कि, इन धाराओं में निर्देशित शेयरहोल्डिंग की सीमा से अधिक ऐसे लेख-पत्रों से उत्पन्न होने वाले किसी इक्विटी अथवा इक्विटी के अधिकार को, 6 मई 2014 से पांच वर्षों की अवधि के अंदर निर्धारित सीमा तक कम किया जाएगा।</p> <p>(8) एफसीआरए के प्रावधानों, उसके अंतर्गत बनाए गए नियमों पर प्रतिकूल प्रभाव डाले बिना, एक्सचेंज वायदा बाजार आयोग को प्रत्येक तिमाही के अंत से पंद्रह दिनों के अंदर अथवा एफएमसी द्वारा अनुमत/निर्देशित समय सीमा से त्रैमासिक आधार पर एफएमसी द्वारा निर्देशित प्रारूप से शेयरहोल्डिंग का ढांचा जाहिर करेगा, जिससे निम्न का समावेश होगा:</p> <p>अ) दस सबसे बड़े शेयरहोल्डर्स के नाम, उनके द्वारा धारण किये गए शेयर्स तथा शेयरहोल्डिंग के प्रतिशत के साथ. तथा (ब) प्रदत्त इक्विटी पूंजी के दो प्रतिशत से अधिक शेयर्स धारण करने वाला शेयरहोल्डर्स के नाम, जिन्होंने उस तिमाही में शेयर्स अर्जित किये हो, एक्सचेंज सभी समय पर निगरानी तथा पूर्ववर्ती आवश्यकताओं के साथ अनुपालन सुनिश्चित करेगा।</p> <p>(9) अन्य लागू कानूनों के अंतर्गत आवश्यकताओं के अलावा, एक्सचेंज उसकी प्रतिभूतियों के जारी करने अथवा अंतरण करने से संबंधित ऐसी सभी बहियां, रजिस्टर्स, अन्य दस्तावेज और रिकार्ड का कम से कम दस वर्षों की अवधि के लिए रखरखाव करेगा और बनाए रखेगा।</p>
7.	धारा 126 ए की उप धारा 1.3 को हटाना	
8.	धारा 126 सी का समावेश	<p>(1) कोई भी व्यापार सदस्य अथवा समाशोधन सदस्य अथवा व्यापारी-सह-समाशोधन सदस्य, अथवा उनके सहयोगी अथवा एजेंट एक्सचेंज के निदेशक बोर्ड पर नियुक्त नहीं किये जाएंगे।</p> <p>(2) किसी भी विदेशी संस्थागत निवेशक को एक्सचेंज के निदेशक बोर्ड पर किसी प्रकार का प्रतिनिधित्व नहीं होगा।</p>

समीर शाह

स्थान : मुंबई

प्रबंध निदेशक तथा सीईओ

दिनांक :

NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Mumbai

The approval of the Deputy Director, Forward Markets Commission, under Section 6(3) of the Forward Contracts (Regulation) Act, 1952 (74 of 1952) read with the Government of India, Ministry of Commerce and Industry Notification No. S.O. 1162 dated 4th May, 1960 has been obtained on the 22nd September, 2014 to the following amendments, made to the Articles of Association of the National Commodity & Derivatives Exchange Ltd., Mumbai and the same is hereby notified.

AMENDMENTS

Sr. No	Article Details	New Article
1.	Insertion of sub article (1b) in Article 3 (1)	(1b) Key Managerial Personnel” means the Chief Executive Officer or the Managing Director or the Manager; the Company Secretary; the Whole- time Director; the Chief Financial Officer; and such other officer as may be prescribed by Central Government.
2.	Substitution of Article 126A	<p>126A.The Board shall include (i) Shareholder directors; (ii) Independent directors; and (iii) Managing Director.</p> <p>The composition of the Board of Directors shall be as under:</p> <p>1.1 Not less than 50% (one-half) of the strength of the Board of Directors shall be Independent Directors of whom:-</p> <p>4 Independent Directors shall be appointed by the Forward Markets Commission u/s 6(2) (b) of FCR Act and</p> <p>The remaining Independent Directors of the Board shall be appointed by the Exchange with the prior approval of the Forward Markets Commission.</p> <p>1.2 The remaining posts of Directors of the Board, other than those appointed as Independent Director under clause 1.1, shall comprise of shareholder directors and the said category shall also include any full time Executive Director other than the Managing Director/ Chief Executive. The Shareholder Directors shall be appointed by the Exchange with the prior approval of the Forward Markets Commission.</p> <p>1.3 The Managing Director shall be an ex-officio director of the Board and shall not be included in either category of independent directors or shareholder directors.</p> <p>1.4. The Chairperson of the Board of Directors of the Exchange shall be an Independent Director and shall be appointed with the prior approval of the Forward Markets Commission.</p> <p>1.5. No trading member or clearing member or their associates and agents shall be on the Board.</p> <p>1.6. Foreign Institutional Investor/Foreign Portfolio Investor as defined in Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014 shall not have any representation on the Board.</p> <p>1.7. The persons to be appointed as Directors should satisfy the criteria of "fit and proper person" as prescribed by Forward Markets Commission.</p>

3.	Substitution of Article 126B	<p>126B. The Exchange is required to forward at least two names for every vacancy of independent director the format prescribed by the Forward Markets Commission.. The Forwards Markets Commission may however, appoint an independent director other than from the names suggested by the Exchange.</p> <p>1.1 In addition to the criteria laid down in Section 149 (6) of the Companies Act, 2013, the eligibility conditions for nomination/appointment as independent director on the Board of Exchange shall be as follows:-</p> <p>1.1.1. The candidate should be a person of integrity who either represents the farmers, traders, exporters, importers, Investor associations or be an expert on Commodities Derivatives Markets, Finance, Accounting, Management, Law, academics having knowledge of commodity economy/derivatives trading.</p> <p>1.1.2. The candidate should have relevant experience / educational qualifications.</p> <p>1.1.3. The persons who are/were holding positions of trust and responsibility in public organizations or person who are retired from such positions may be preferred over other candidates.</p> <p>1.1.4. Persons who are regular traders/speculators in the market will not be eligible to be considered for such nomination.</p> <p>1.2 The Tenure and terms & conditions for appointment of Independent Director at the Board of Directors shall be as follows:-</p> <p>1.2.1 The term of an Independent Director shall be normally three years. However, the Forward Markets Commission may approve the appointment of Independent Director for a term of less than 3 years as deemed fit. The appointment of the Independent Director can be terminated by the Forward Markets Commission at any point of time before the expiry of his/her tenure.</p> <p>1.2.2 The Independent Directors shall have a maximum of 2 terms of 3 years each.</p> <p>1.2.3 A person shall not act as Independent Director on more than one Commodity Exchange simultaneously.</p> <p>1.2.4 The Remuneration payable to Independent Directors shall be as per the provisions of Section-197 of the Companies Act, 2013.</p> <p>1.2.5 The Independent directors shall, endeavour to attend all the board of director meetings and they shall be liable to vacate office if they remain absent for three consecutive meetings of the Board of Directors or do not attend seventy five per cent of the total meetings of the Board of Directors in a calendar year.</p> <p>1.2.6 The Independent Directors shall meet separately, at least once in six months to exchange views on critical issues.</p> <p>1.2.7 The Independent Directors shall submit a report to the Forward Markets Commission within a week of the conclusion of the Board/Committee meeting in case of any difference of opinion or disagreement they have or had with the decisions taken by the Board/Committee or any other matter which they would like bring to the attention of the Forward Markets Commission.</p> <p>1.2.8 If any issue arises as to whether an assignment or position of an independent director is in conflict with his role, the Forward Markets Commission's decision shall be final.</p> <p>1.2.9 In case of extension of the term of the independent director or appointment of a new independent director, the Exchange shall apply to Forward Markets Commission two months before the expiry of the term. In addition to the other requirements prescribed herein, the application for extension of term of the independent director shall be accompanied with, his/her attendance details on meetings of various mandatory committees and on the Board of Directors of the Exchange.</p> <p>1.2.10 The independent director shall not be subject to retirement by rotation.</p> <p>1.2.11 The existing independent director shall continue holding the post, till a new independent director is appointed in his place.</p>
4	Inserted new article 126 D	<p>126D. 1.1. The names of persons to be appointed as shareholder directors shall first be approved by the Board of Directors of the Exchange, followed by Shareholders' approval before submitting the same to the Forward Markets Commission for approval.</p> <p>1.2. The manner of election, appointment, tenure, resignation, vacation etc. of Shareholder Directors shall be governed by the Companies Act, 2013 and the Equity Listing Agreement in case of listed companies save as otherwise specifically provided under the FCR Act and directives issued thereunder.</p>

5	Insertion of Article 126E	<p>126E 1.1. Every director of Exchange shall abide by the Code of Conduct. The Code of Conduct is as under:</p> <p>i. Meetings and minutes.</p> <p>Every director of the Exchange shall (a) not participate in discussions on any subject matter in which any conflict of interest exists or arises, whether pecuniary or otherwise, and in such cases the same shall be disclosed and recorded in the minutes of the meeting; (b) not encourage the circulation of agenda papers during the meeting; (c) offer their comments on the draft minutes and ensure that the same are incorporated in the final minutes; (d) insist on the minutes of the previous meeting being placed for approval in subsequent meeting; (e) endeavour to have the date of next meeting fixed at each board of director meeting in consultation with other members of the board of directors; (f) endeavour to ensure that in case all the items of the agenda of a meeting were not covered for want of time, the next meeting is held within fifteen days for considering the remaining items.</p> <p>ii. Strategic planning.</p> <p>Every director of the Exchange shall (a) participate in the formulation and execution of strategies in the best interest of the Exchange and contribute towards pro-active decision making at the board of directors level; (b) give benefit of their experience and expertise to the Exchange and provide assistance in strategic planning and execution of decisions.</p> <p>iii. Regulatory compliances.</p> <p>Every director of the Exchange shall (a) endeavour to ensure that the Exchange abides by all the provisions of the FCR Act, Rules and Regulations framed thereunder and the circulars, directions issued by the Commission from time to time; (b) endeavour compliance at all levels so that the regulatory system does not suffer any breaches; (c) endeavour to ensure that the Exchange takes steps commensurate to honour the time limit stipulated by Board/Commission for corrective action; (d) not support any decision in the meeting of the board of directors which may adversely affect the interest of investors and shall report forthwith any such decision to the Commission.</p> <p>iv. General responsibility.</p> <p>Every director of the Exchange shall (a) place priority for redressing investor grievances and encouraging fair trade practice so that the Exchange becomes an engine for the growth of the commodities futures market; (b) endeavour to analyse and administer the Exchange issues with professional competence, fairness, impartiality, efficiency and effectiveness; (c) submit the necessary disclosures/statement of holdings/dealings in commodities as required by the Exchange from time to time as per their Rules or Articles of Association; (d) unless otherwise required by law, maintain confidentiality and shall not divulge/disclose any information obtained in the discharge of their duty and no such information shall be used for personal gains; (e) maintain the highest standards of personal integrity, truthfulness, honesty and fortitude in discharge of their duties in order to inspire public confidence and shall not engage in acts discreditable to their responsibilities; (f) perform their duties in an independent and objective manner and avoid activities that may impair, or may appear to impair, their independence or objectivity or official duties; (g) perform their duties with a positive attitude and constructively support open communication, creativity, dedication, and compassion; (h) not engage in any act involving moral turpitude, dishonesty, fraud, deceit, or misrepresentation or any other act prejudicial to the administration of the Exchange; and (i) abide by the Code of Conduct as specifically laid down in Schedule IV of the Companies Act, 2013.</p> <p>1.2. Every director and key management personnel of Exchange shall abide by the Code of Ethics as set out hereunder:</p> <p style="text-align: center;">Code of Ethics</p> <p>i. Objectives and underlying principles.</p> <p>The Code of Ethics for directors and key management personnel of the Exchange seeks to establish a minimum level of business/ professional ethics to be followed by these directors</p>
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	<p>and key management personnel, towards establishing a fair and transparent marketplace. The Code of Ethics is based on the following fundamental principles:</p> <ol style="list-style-type: none"> a) Fairness and transparency in dealing with matters relating to the Exchange and the investors. b) Compliance with all laws/ rules/ regulations laid down by regulatory agencies/ Exchange. c) Exercising due diligence in the performance of duties. d) Avoidance of conflict of interest between self-interest of directors/ key management personnel and interests of Exchange and investors. <ol style="list-style-type: none"> ii. General standards. <ol style="list-style-type: none"> a) Directors and key management personnel shall endeavour to promote greater awareness and understanding of ethical responsibilities. b) Directors and key management personnel, in the conduct of their business shall observe high standards of commercial honour and just and equitable principles of trade. c) The conduct of directors and key management personnel in business be exemplary. d) Directors and key management personnel shall not use their position to give/get favours to/from the executive or administrative staff of the Exchange, technology or service providers and vendors of the Exchange. e) Directors and key management personnel shall not commit any act which will put the reputation of the Exchange in jeopardy. f) Directors, committee members and key management personnel of the Exchange, should comply with all rules and regulations applicable to the commodities market. iii. Disclosure of dealings in commodities by key management personnel of Exchange. <ol style="list-style-type: none"> a) Key management personnel of the Exchange shall disclose on a periodic basis as determined by the Exchange (which could be monthly), all their dealings in contracts at Exchange, directly or indirectly, to the board of directors/ ethics committee/ Compliance Officer. b) The dealings in contracts at Exchange shall also be subject to trading restrictions for commodities about which key management personnel in the recognised commodity exchange may have non-public price sensitive information. iv. Disclosure of dealings in contracts at Exchange by directors of the Exchange:- <ol style="list-style-type: none"> a) All transactions in contracts at Exchange by the directors and their family shall be disclosed to the board of directors of the Exchange. b) All directors shall also disclose the trading conducted by firms/corporate entities in which they hold twenty per cent or more beneficial interest or hold a controlling interest, to the Ethics Committee. v. Avoidance of conflict of interest. <ol style="list-style-type: none"> a) No director of the board of directors or member of any committee of the Exchange shall participate in any decision making/adjudication in respect of any person /matter in which he is in any way, directly or indirectly, concerned or interested. b) Whether there is any conflict of interest or not in a matter, should be decided by the board of directors. vi. Disclosures of beneficial interest. <p>All directors and key management personnel shall disclose to the board of directors, upon assuming office and during their tenure in office, whenever the following arises:-</p>
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		<ul style="list-style-type: none"> a) any fiduciary relationship of self and family members and directorship/ partnership of self and family members in any trading member or clearing member; b) any other business interests. <p>vii. Role of the Chairperson and directors in the day to day functioning of the Exchange:</p> <ul style="list-style-type: none"> a) The Chairperson and directors shall not interfere in the day to day functioning of the Exchange and shall limit their role to decision making on policy issues and to issues as the board of directors may decide. b) The Chairperson and directors shall abstain from influencing the employees of the Exchange in conducting their day to day activities. c) The Chairperson and directors shall not be directly involved in the function of appointment and promotion of employees unless specifically so decided by the board of directors. <p>viii. Access to information.</p> <ul style="list-style-type: none"> a) Directors shall call for information only as part of specific committees or as may be authorised by the board of directors. b) There shall be prescribed channels through which information shall move and further there shall be audit trail of the same. Any retrieval of confidential documents/ information shall be properly recorded. c) All such information, especially which is non-public and price sensitive, shall be kept confidential and not be used for any personal consideration/ gain. d) Any information relating to the business/operations of the Exchange, which may come to the knowledge of directors/ key management personnel during performance of their duties shall be held in strict confidence, shall not be divulged to any third party and shall not be used in any manner except for the performance of their duties. <p>ix. Misuse of position.</p> <ul style="list-style-type: none"> a) Directors/ committee members shall not use their position to obtain business or any pecuniary benefit in the organization for themselves or family members. b) While the objective of this Code is to enhance the level of market integrity and investor confidence, it is emphasized that a written code of ethics may not completely guarantee adherence to high ethical standards. This can be accomplished only if directors and key management personnel of the Exchange to commit themselves to the task of enhancing the fairness and integrity of the system in letter and spirit. c) The Exchange shall ensure that all key management personnel employed by them satisfy the criteria of "fit and proper person" as prescribed by Forward Markets Commission. <p>1.3. The Forward Markets Commission may, for any failure by the directors to abide by these Norms or the Code of Conduct or Code of Ethics or in case of any conflict of interest, either upon a reference from the Exchange or suomotu, take appropriate action including removal or termination of the appointment of any director or key managerial personnel, after providing him a reasonable opportunity of being heard.</p>
6	Substitution of Article 128	<p>128. 1.1. The appointment, renewal of appointment, terms and conditions of service (including remuneration) and the premature termination of services of the Managing Director/Chief Executive (subject to the Managing Director /Chief Executive being given an opportunity of being heard against such termination or removal), shall be subject to prior approval of the Forward Markets Commission. However, in case the Managing Director/ Chief Executive resigns voluntarily, which is accepted by the Board of Directors, the Exchange will inform about the same to the Forward Markets Commission.</p>

	<p>1.2. In case a vacancy of Managing Director arises due to unforeseen reasons, the Exchange shall forward the new names to the Forward Markets Commission within 60 days from the date of submission of resignation or such vacation of office.</p> <p>1.3. In case the post of Managing Director/Chief Executive becomes vacant due to removal/resignation/premature termination of services or for any other reason, the Board will devise a suitable arrangement for looking after the work of Managing Director/Chief Executive until a new incumbent is appointed as Managing Director / Chief Executive.</p> <p>1.4. The appointment of the Managing Director shall be for a tenure not less than three years and not exceeding five years.</p> <p>1.5. The Managing Director of the Exchange shall not-</p> <ul style="list-style-type: none"> (a) be a shareholder or an associate of a shareholder of Exchange or shareholder of an associate of Exchange as the case may be; (b) be a trading member or a clearing member, or his associate and agent, or shareholder of a trading member or clearing member or shareholder of an associate and agent of a trading member or a clearing member; or (c) hold any position concurrently in the subsidiary of Exchange or in any other entity associated with Exchange. <p>Provided that the Managing Director of Exchange may be appointed on the Board of Directors, but not as Managing Director, of the subsidiary of the Exchange as the case may be.</p> <p>1.6. The Exchange shall constitute a Committee for the selection of the Managing Director/Chief Executive, as the case may be. The Managing Director shall be selected through open advertisement in all editions of at least one national daily from amongst persons qualified in the fields of commodity market/capital market/ finance/ management and possessing sufficient experience. In case the Exchange proposes reappointment/ extension or fresh appointment, the Forward Markets Commission should get a proposal from the Exchange for the appointment of Managing Director/Chief Executive two months prior to the last working day of such Managing Director. The Exchange shall submit an undertaking that the necessary due diligence has been carried out by them with respect to the verification of antecedents, credentials and experience of the proposed person/s. The proposal seeking approval of the Forward Markets Commission for the appointment / termination of services of Managing Director/Chief Executive shall be submitted to the Forward Markets Commission only with the prior approval of the Board of Directors of the Exchange.</p> <p>1.7. The Selection Committee shall comprise of five persons i.e., two independent directors, two shareholder directors and one independent external person. Further, the Exchange shall ensure that one independent director shall be part of the Selection Committee and the meetings of such Committee at all times.</p> <p>1.8. At the time of seeking approval of the Forward Markets Commission for the appointment of the Managing Director/Chief Executive, the Exchange shall also seek approval for the remuneration and terms and conditions of the Managing Director/Chief Executive from the Forward Markets Commission. While recommending the remuneration for the Managing Director /Chief Executive, the Exchange shall take into consideration the following:</p> <ul style="list-style-type: none"> i) Role and responsibilities of the Managing Director /Chief Executive ii) Financial condition / health of the Exchange iii) Comparability to the industry standards iv) Revenues, net profit of the Exchange v) The Exchange shall ensure that the variable component of the remuneration of Managing Director /Chief Executive does not exceed one third of the total remuneration. vi) No incentives are provided for excessive risks in the short term. vii) The variable component of the remuneration is paid only after the audited annual accounts for the year are approved by the Board of Directors. viii) Any change in the remuneration or conditions of service of Managing Director/Chief Executive will also require prior approval of the Forward Markets Commission. ix) The remuneration of Managing Director/Chief Executive of an Exchange already appointed with the approval of the Forward Markets Commission shall also be in accordance with the above norms.
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		<p>The sub clauses at (i) to (vii) shall be considered by Exchange while fixing the remuneration of the Key Management Personnel. The definition of Key Management Personnel will be as per Section 2(5 1) of the Companies Act, 2013.</p> <p>1.9. The Managing Director / Chief Executive of the Exchange should be a person of high caliber, integrity and expertise and should have sufficient authority to manage the affairs of the Exchange.</p> <p>1.10. It shall be the duty of the Managing Director/Chief Executive of the Exchange to ensure that at least once in a quarter, the Board of Directors is informed about the various directions of Central Government/Forward Markets Commission relating to Exchange and the status of their compliance.</p> <p>1.11. It shall be the duty of the Managing Director / Chief Executive to give effect to the directives, guidelines, orders, circulars issued by the Forward Markets Commission / Government of India in order to implement the applicable provisions of law, rules, and regulations as also the Bye-laws, Rules, and Regulations, and Memorandum and Articles of Association of the Exchange. Any failure in this regard will make the Managing Director/Chief Executive liable for removal from or termination of service by the Board of Directors of the Exchange with the prior approval of the Forward Markets Commission, or on receipt of directions to that effect from the Forward Markets Commission, subject to the Managing Director/Chief Executive being given an opportunity of being heard against such termination or removal.</p> <p>1.12. The person to be appointed as Managing Director / Chief Executive of the Exchange should satisfy the criteria of "fit and proper person" as prescribed by Forward Markets Commission.</p> <p>1.13. The Forward Markets Commission may suomotu remove or terminate the appointment of the Managing Director of the Exchange if deemed fit in public interest provided that no Managing Director shall be removed unless he has been given a reasonable opportunity of being heard.</p> <p>1.14. The aforesaid provisions shall also be applicable if the Exchange appoints a Chief Executive Officer who is not a Managing Director.</p>
7.	Substitution of Article 156A	<p>156A1.1. In order to ensure effective oversight of the functioning of the Exchange, the Exchange shall have the following mandatory committees. The Exchange may constitute more Committees as per its requirement.</p> <p>1. Audit Committee:</p> <p>a) Independent directors shall constitute majority of the members of the Committee.</p> <p>b) The Role of the Audit Committee will be as laid down in Section 177 of the Companies Act, 2013.</p> <p>2. Compensation Committee:</p> <p>Composition:</p> <p>a) Independent directors shall constitute majority of the members of the Committee and shall be chaired by an independent director.</p> <p>Role:</p> <p>a) The Compensation Committee shall determine the compensation of key management personnel in terms of a compensation policy.</p> <p>b) The compensation payable to the Managing Director shall be as approved by the Board and the Forward Markets Commission. The terms and conditions of the compensation of the Managing Director shall not be changed without prior approval of the Forward Markets Commission.</p> <p>c) The tenure of key management personnel, other than a director, shall be for a fixed period, as may be decided by the Compensation Committee.</p> <p>d) The Role of the Compensation Committee will also governed by the provisions of Section 178 of the Companies Act, 2013.</p> <p>e) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p>

	<p>3. Risk Management Committee:-</p> <p>Composition:</p> <p>a) It shall comprise of independent directors, shareholder directors and independent external experts, which shall report to the Board.</p> <p>b) The Managing Director shall also be a member of the Risk Management Committee.</p> <p>Role:</p> <p>a) The Risk management committee shall formulate a detailed risk management policy which shall be approved by the board of directors.</p> <p>b) The head of the Risk Management department of the Exchange shall be responsible for implementation of the risk management policy and he shall report to the risk management committee and to the managing director of the Exchange.</p> <p>c) The Risk Management committee shall monitor implementation of the risk management policy and keep the Forward Markets Commission and the board of directors informed about its implementation and deviation, if any.</p> <p>d) This Risk Management Committee will be responsible for identification, measurement and monitoring of the risk profile of the Exchange (including business risk, default risk, settlement risk, market risk, legal risk, operational risk, technological risk and delivery risk);</p> <p>e) Overseeing Exchange's integrated risk measurement system and review the risk models as developments take place in the markets;</p> <p>f) Overseeing of Risk and Control measures that are needed to be built into the system of the Exchange and at periodic intervals, monitoring their compliance and suggestions for improvement,</p> <p>g) Formulation and periodically reviewing of the Exchange's financial and risk management policies;</p> <p>h) Determination of maximum exposure limits and borrowing limits of the Exchange;</p> <p>i) Determination of framework to limit exposures of the Exchange to members, commodities and geographies; action taken against defaulting members etc.</p> <p>j) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p> <p>4. Disciplinary Action Committee:</p> <p>Composition:</p> <p>a) The disciplinary action committee shall comprise of independent directors, shareholder directors and Exchange officials.</p> <p>b) The independent directors shall form a majority of the disciplinary action committee.</p> <p>c) A maximum of two key management personnel of the Exchange can be on the committee one of which shall necessarily be the Managing Director of the Exchange.</p> <p>Role:</p> <p>a) The disciplinary action committee shall formulate the policy for regulatory actions including warning, monetary fine, suspension, withdrawal of Trading, expulsion, to be taken for various violations by the members of the exchange.</p> <p>b) Based on the laid down policy, the disciplinary action committee shall consider the cases of violations observed during inspection, etc and impose appropriate regulatory measure on the members of the Exchange.</p> <p>c) While imposing the regulatory measure, the disciplinary action committee shall adopt a laid down process, based on the 'Principles of natural justice'.</p> <p>d) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p>
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	<p>5. Default Committee:</p> <p>Composition:</p> <p>a) The independent directors shall form a majority of the default committee.</p> <p>b) A maximum of two key management personnel of the Exchange can be on the default committee.</p> <p>c) Shareholder Director may also be on the default committee and the committee may also include independent external persons such as retired judge, etc.</p> <p>Role:</p> <p>a) To identify and notify a member as defaulter.</p> <p>b) To realize all the assets / deposits of the defaulter / expelled member and appropriate the same amongst various dues and claims against the defaulter / expelled member in accordance with the Rules, Byelaws and Regulations of the Exchange.</p> <p>c) In the event both the clearing member and his constituent trading member are declared defaulter, then the default committee of the Exchange shall work to realize the assets of both the clearing member and the trading member.</p> <p>d) Admission or rejection of claims of client/trading members/clearing members over the assets of the defaulter /expelled member.</p> <p>e) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p> <p>6. Standing Committee on Technology:</p> <p>Composition:</p> <p>The Standing Committee on Technology shall comprise of two outside experts proficient in technology and at least one independent director.</p> <p>Role:</p> <p>a) To monitor whether the technology used by the exchange remains upto date and meets the growing demands of the markets.</p> <p>b) To monitor the adequacy of systems capacity and efficiency at the Exchange. To look into the changes being suggested by the Exchange to the existing software/hardware.</p> <p>c) To investigate into problems of computerised trading system, such as hanging/ lowdown/ breakdown.</p> <p>d) To ensure that transparency is maintained in disseminating information regarding slowdown/ breakdown in Online Trading System.</p> <p>e) The Committee shall submit a report to the Board of Directors of the Exchange.</p> <p>f) The Board of Directors of the Exchange will deliberate on the report and suitable action/ remedial measure will be taken.</p> <p>g) Any stoppage of xtrading will be explained and reported to the Board and the Forward Markets Commission. Exchange shall issue a press release specifying the reasons for the breakdown.</p> <p>h) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p> <p>7. Independent Directors' Committee:</p> <p>Role:</p> <p>During their meetings, the Independent Directors shall review the following:</p> <p>a) Status of compliance with letters/ circulars of Forward Markets Commission.</p>
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	<p>b) Review the functioning of regulatory departments including the adequacy of resources dedicated to regulatory functions.</p> <p>c) The independent directors shall prepare a report on the working of the other committees where they are also the members. The report shall be circulated to the other Independent directors.</p> <p>d) To review actions to be taken to implement suggestions/observations in Forward Markets Commission's inspection report.</p> <p>e) A consolidated report shall then be submitted to the Board of Directors of the Exchange.</p> <p>f) The independent directors shall identify important issues which may involve conflict of interest for the Exchange or may have significant impact on the market and report the same to Forward Markets Commission.</p> <p>g) All the independent directors shall necessarily attend every meeting of the committee.</p> <p>h) Any other role as may be prescribed by the Board or Forward Markets Commission from time to time.</p> <p>8. Ethics Committee:</p> <p>Composition:</p> <p>The Ethics Committee shall comprise of independent directors, shareholder directors, key management personnel and compliance officer.</p> <p>Role:</p> <p>a) To oversee the implementation of the code of ethics for directors and key managerial personnel of Exchange.</p> <p>b) To lay down procedures for the implementation of the code and prescribe reporting formats for the disclosures required under the code.</p> <p>The Compliance Officer shall execute the requirements laid down by the Ethics committee.</p> <p>1.2. Independent external persons appointed to Committees shall be from amongst the persons of integrity, having a sound reputation and not having any conflict of interest. They shall be specialists in the field of work assigned to the committee. The Exchange shall frame the guidelines for appointment, tenure, code of conduct, etc., of independent external persons. Extension of the tenure may be granted at the expiry of the tenure pursuant to a review of the contribution, record of attendance at meetings, etc.</p> <p>The Agenda for Board/Committee meetings should invariably be served to all members of the Committee including Independent Directors at least 7 working days in advance of the date of the Board/Committee meeting. The Agenda should be accompanied with the underlying detailed notes on each point for discussion in the Board /Committee meeting. Agenda items of very urgent nature and not involving major policy issues may be served at shorter notice but not less than 3 working days prior to the Board /Committee meeting.</p> <p>1.3. The Exchange shall submit details about the functioning of committees by way of Quarterly development report to the Forward Markets Commission.</p>
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SAMIR SHAH

Managing Director & CEO

Place: Mumbai

Date:

The approval of the Deputy Director, Forward Market Commission, under Section 6(3) of the Forward Contracts (Regulation) Act, 1952(74 of 1952) read with the Government of India, Ministry of Commerce and Industry Notification No. S.O. 1162 dated 4th May, 1960 has been obtained on the 18th July, 2014, to the following amendments, made to the Articles of Association of the National Commodity & Derivatives Exchange Ltd, Mumbai and the same is hereby notified.

AMENDMENTS

Sr. No	Article Details	New Article
1.	Substitution of sub articles (d), (i), (j) and (n) of Article 3 (1)	<p>(d) "clearing member" means a trading cum clearing member or an institutional clearing member of a recognized exchange who has the right to clear transactions in contracts that are executed in the trading system of the Exchange.</p> <p>(i) "depository" means a company formed and registered under the Companies Act, 1956 (1 of 1956) and or Companies Act 2013 which has been granted a certificate of registration under sub-section (1A) of section 12 of the Securities and Exchange Board of India Act, 1992 (15 of 1992).</p> <p>(j) "Exchange" or "National Commodity Exchange" means a demutualized commodity derivative exchange with an independent professional management, an electronic trading platform, all India operations and recognized under section 6 of the Forward Contracts (Regulation) Act, 1952 for trading in all commodities notified u/s 15 or otherwise not prohibited for trading u/s 17 of the said Act.</p> <p>(n) "trading member" means a person having trading rights as members of any recognized commodity exchange.</p>
2.	Insertions of new sub articles as sub article (aa), (la), (pa), (pb), (pc), (pd), (sa) to Article 3 (1):	<p>(aa) "banking company" shall have the same meaning as assigned to it in clause (c) of section 5 of the Banking Regulation Act, 1949 (10 of 1949).</p> <p>(la) "insurance company" shall have the same meaning as assigned to it in sub-section (8) of section 2 of the Insurance Act, 1938 (4 of 1938).</p> <p>(pa) "persons acting in concert" in the context of acquisition or holding of shares or voting rights or control shall mutatis mutandis have the same meaning as assigned to it in clause (q) of sub-regulation (1) of regulation 2 of the Securities and Exchange Board of India (Substantial acquisition of Shares and Takeovers) Regulations, 2011 or any modification thereof.</p> <p>(pb) "person resident in India" shall have the same meaning as assigned to it in clause (v) of section 2 of the Foreign Exchange Management Act, 1999 (42 of 1999).</p> <p>(pc) "person resident outside India" shall have the same meaning as assigned to it in clause (w) of section 2 of the Foreign Exchange Management Act, 1999 (42 of 1999).</p> <p>(pd) "public" includes any member or section of the public but does not include any trading member or clearing member or their associates and agents. Provided that a public sector bank, public financial institution, an insurance company, mutual fund and alternative investment fund in public sector, that has associate(s) as trading members or clearing members, shall be deemed as public.</p> <p>(sa) "trading-cum-clearing member" means a person who is admitted by the Exchange as a member of the Exchange conferring a right to trade and clear through the clearing house of the Exchange as a clearing member.</p>
3.	Substitution of existing Article 3 (5)	3(5) Words and expressions used and not defined in these Articles but defined in the Forward Contracts (Regulation) Act, 1952, the Companies Act, 2013, the Securities and Exchange Board of India, 1992, Securities Contracts (Regulation) Act, 1956, the Depositories Act, 1996 or any rules or regulations made thereunder shall have the same meaning respectively assigned to them in those Acts, rules and regulations made thereunder or any statutory modification or re-enactment thereto, as the case may be.
4	(1) Insertion of Article 3A:	<p style="text-align: center;">NET WORTH REQUIREMENTS</p> <p>3A. (1) The Exchange shall have a minimum networth of one hundred crore rupees at all times.</p>

		<p>Explanation: For the purpose of this Article, 'networth of the Exchange' means the aggregate value of paid up equity share capital plus free reserves (excluding statutory funds, benefit funds and reserves created out of revaluation) reduced by the investments in business, whether related or unrelated, aggregate value of accumulated losses and deferred expenditure not written off, including miscellaneous expenses not written off.</p> <p>(2) The Exchange shall not distribute profits in any manner to its shareholders until the networth specified above is achieved.</p> <p>(3) The Exchange shall submit an audited networth certificate from the statutory auditor on a yearly basis by the thirtieth day of September of every year for the preceding financial year or as permitted/directed by the Forward Markets Commission.</p>
5	Insertion of Article 48A:	<p style="text-align: center;">ELIGIBILITY FOR ACQUIRING OR HOLDING SHARES</p> <p>48A. (1) Notwithstanding anything contained in these Articles, no person shall, directly or indirectly, acquire or hold any equity shares of Exchange unless he is a fit and proper person, as per criteria prescribed by the Forward Markets Commission.</p> <p>(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquiring equity shares of the Exchange such that his shareholding in the Exchange exceeds two per cent of the paid up equity share capital of Exchange, shall seek approval of the Forward Markets Commission within fifteen days of the acquisition.</p> <p>(3) Any person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-clause (2) of clause 3 above (shareholding in Exchange), may acquire or hold more than five percent of the paid up equity share capital of Exchange, only if, he has obtained prior approval of the Forward Markets Commission.</p> <p>(4) Any person holding more than two percent of the paid-up equity share capital of the Exchange, shall ensure compliance with the sub-clause (2) above, within a period of ninety days commencing from May 6, 2014.</p> <p>(5) If approval under sub-clauses (2) or (3) above is not granted by the Forward Markets Commission to any person, such person shall forthwith divest his excess shareholding.</p> <p>(6) Any person holding more than two per cent of the paid up equity share capital in Exchange shall file a declaration with the Exchange within fifteen days from the end of every financial year that he complies with the fit and proper criteria prescribed by the Forward Markets Commission.</p> <p>(7) In the event of any person ceasing to be a 'fit and proper person' or being declared so, by the Forward Markets Commission, such person shall forthwith divest his shareholding in the Exchange. Further, pending divestment of shares, the voting rights of such person in the Exchange shall stand extinguished and any corporate benefit in lieu of such holding shall be kept in abeyance /withheld by Exchange. The Exchange shall take necessary steps, as it may deem fit, so as to ensure that the shareholding of such person is divested forthwith.</p> <p>For the purposes of the these Articles, a person shall be deemed to be a fit and proper person, as per directives of the Forward Markets Commission, as amended from time to time and which, at present, are as follows –</p> <p>(a) such person has a general reputation and record of fairness and integrity, including but not limited to –</p> <p>(i) financial integrity;</p> <p>(ii) good reputation and character; and</p> <p>(iii) honesty;</p> <p>(b) such person has not incurred any of the following dis-qualifications —</p> <p>(i) the person or any of its whole time directors or managing partners has been convicted by a Court for any offence involving moral turpitude or any economic offence, or any offence against the securities laws;</p> <p>(ii) an order for winding up has been passed against the person;</p>

		<p>(iii) the person or any of its whole time directors or managing partners has been declared insolvent and has not been discharged;</p> <p>(iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners from dealing in commodity derivatives or securities or from accessing the commodity derivative or securities market, has been passed by the Forward Markets Commission or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;</p> <p>(v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the commodity derivatives or securities market, has been passed by the Forward Markets Commission or any other regulatory authority and a period of three years from the date of the order has not elapsed;</p> <p>(vi) the person has been found to be of unsound mind by a Court of competent jurisdiction and the finding is in force; and</p> <p>(vii) the person is financially not sound.</p> <p>The question arising as to whether a person is a fit and proper person, the decision of the Forward Markets Commission in this behalf shall be final.</p>
6	Insertion of Article 48B and Article 163A:	<p style="text-align: center;">SHAREHOLDING</p> <p>48B (1) At least fifty one percent of the share capital of Exchange shall be held by public.</p> <p>(2) No person resident in India shall at any time, directly or indirectly, either individually or together with persons acting in concert, acquire or hold more than five per cent of the paid-up equity share capital in Exchange, provided that:</p> <p>(i) a commodity exchange;</p> <p>(ii) a stock exchange;</p> <p>(iii) a depository;</p> <p>(iv) a banking company;</p> <p>(v) an insurance company; and</p> <p>(vi) a public financial institution,</p> <p>may acquire or hold, either directly or indirectly, either individually or together with persons acting in concert, upto fifteen per cent of the paid-up equity share capital of Exchange.</p> <p>(3) No person resident outside India, directly or indirectly, either individually or together with persons acting in concert, shall acquire or hold more than five percent of the paid up equity share capital.</p> <p>(4) The combined holding of all persons resident outside India in the paid up equity share capital of a recognized commodity exchange shall not exceed, at any time, forty nine per cent of its total paid up equity share capital, subject to the following:</p> <p>(a) the combined holding of such persons acquired through foreign direct investment route shall not exceed twenty six per cent of the total paid up equity share capital, at any time.</p> <p>(b) The combined holding of foreign institutional investors shall not exceed twenty three percent of the total paid up equity share capital, at any time.</p> <p>(c) No foreign institutional investors shall acquire shares of a recognized commodity exchange otherwise than through secondary market.</p> <p>Explanation:</p> <p>For the purpose of (c) above, the acquisition of shares in the Exchange through secondary market shall be construed as under:</p> <p>I. If the Exchange is not listed, a foreign institutional investor may acquire its shares through transactions outside of the recognized stock exchange provided it is not an initial allotment of shares;</p>

		<p>II. If the Exchange is listed, the transactions by a foreign institutional investor shall be done through the recognized stock exchange where such shares are listed.</p> <p>(5) No clearing corporation shall hold any right, stake or interest of whatsoever nature, in the Exchange.</p> <p>(6) The Exchange shall monitor and at all times ensure compliance with the requirements and guidelines in this regard, issued by the Forward Markets Commission.</p> <p>(7) (i) The shareholding or voting rights of any person in the Exchange shall not exceed the limits specified in these Articles.</p> <p>(ii) Further, the shareholding as specified in these Articles shall include any instrument owned or controlled, directly or indirectly, that provides for entitlement to equity or rights over equity at any future date.</p> <p>Provided that any equity or rights over equity, arising from such instruments in excess of limit of shareholding specified in these Articles, shall be reduced to the specified limit within a period of five years commencing from May 6, 2014.</p> <p>(8) Without prejudice to the provisions of FCRA, rules made thereunder, the Exchange shall disclose to the Forward Markets Commission, the shareholding pattern on a quarterly basis within fifteen days from the end of each quarter or within time period as permitted/directed by FMC, in the format specified by the FMC including therein: (a) the names of ten largest shareholders along with the number and percentage of shareholding held by them; and (b) the names of shareholders holding more than two percent of the paid up equity share capital, who had acquired shares in that quarter. The Exchange shall monitor and ensure compliance with the foregoing requirements at all times.</p> <p>(9) In addition to the requirements under other laws in force, the Exchange shall maintain and preserve all the books, registers, other documents and records relating to the issue or transfer of its securities for a period of not less than ten years.</p>
7.	Deletion of sub article 1.3. of Article 126A	
8.	Insertion of Article 126C:	<p>(1) No trading member or clearing member or trading-cum-clearing member, or their associates and agents shall be appointed on the Board of Directors of the Exchange.</p> <p>(2) No foreign institutional investor shall have any representation in the Board of Directors of the Exchange.</p>

SAMIR SHAH

Managing Director & CEO

Place: Mumbai

Date:

मुद्रण निदेशालय द्वारा, भारत सरकार मुद्रणालय, एन.आई.टी. फरीदाबाद
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